

## Existence and asymptotic behavior of solutions for a class of Kirchhoff-type equations on the real half-line

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**Abstract.** This paper is concerned with the following Kirchhoff-type equation:

$$-\left(a + b \int_0^{+\infty} |u'(x)|^2 dx\right) u'' + p(x)u = q(x)f(u), \quad x \in (0, +\infty),$$

where  $a > 0$ ,  $b \geq 0$ , are constants,  $f \in C(\mathbb{R})$ ,  $p \in C(\mathbb{R}^+, \mathbb{R}_*^+)$  and  $q \in L^1(0, +\infty)$ . Firstly, by using Ekeland's variational principle, we show the existence of solutions to the above equation in the case where  $f$  is a sublinear function. Then, we establish the existence of solutions in the case where  $f$  is a superlinear function by using the mountain pass theorem. Moreover, we discuss the asymptotic behavior of the obtained solutions in both cases with respect to the parameter  $b$ . Some recent results are complemented and extended.

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### 1. Introduction

In this paper, we consider the following Kirchhoff-type equation:

$$\begin{cases} -\left(a + b \int_0^{+\infty} |u'(x)|^2 dx\right) u'' + p(x)u = q(x)f(u), & x \in (0, +\infty), \\ u(0) = 0, \end{cases} \quad (\mathcal{P}_b)$$

where  $a > 0$  and  $b \geq 0$  are constants,  $f \in C(\mathbb{R})$ ,  $p \in C(\mathbb{R}^+, \mathbb{R}_*^+)$ , and  $q \in L^1(0, +\infty)$ .

The problem  $(\mathcal{P}_b)$  is related to the stationary analogue of the following Kirchhoff equation:

$$\frac{\partial^2 u}{\partial t^2} - \left(\alpha + \beta \int_0^{+\infty} \left|\frac{\partial u}{\partial x}\right|^2 dx\right) \frac{\partial^2 u}{\partial x^2} = g(x, u),$$

which was introduced by Kirchhoff [16] as a generalization of the well-known D'Alembert's wave equation

$$\rho \frac{\partial^2 u}{\partial t^2} - \left(\frac{\rho_0}{h} + \frac{E}{2L} \int_0^L \left|\frac{\partial u}{\partial x}\right|^2 dx\right) \frac{\partial^2 u}{\partial x^2} = g(x, u), \quad (1)$$

for free vibrations of elastic strings. Kirchhoff's model takes into account the changes in length of the string produced by transverse vibrations.

In (1),  $u$  denotes the displacement,  $g(x, u)$  is the external force and the other parameters have the following meaning:  $L$  is the length of the string,  $h$  is the area of cross section,  $E$  is the Young modulus of the material,  $\rho$  is the mass density, and  $\rho_0$  is the initial tension.

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We notice that problem (1) appears in other fields such as biological systems, where  $u$  describes a process which depends on the average of the density itself (for instance, population density). For more information on the physical background of problem (1), we refer the readers to [1, 4, 17, 21] and the references therein.

In the recent decades, the following Kirchhoff-type equation:

$$-\left(a + b \int_{\Omega} |\nabla u|^2 dx\right) \Delta u + V(x)u = f(x, u), \quad x \in \Omega,$$

where  $\Omega$  is a smooth bounded domain of  $\mathbb{R}^N$  or  $\Omega = \mathbb{R}^N$ , has been extensively investigated, and many interesting results have been obtained by means of variational methods, see for instance [3, 9, 11, 14, 18, 20, 23, 24, 26] and the references therein.

However, there are a few papers dealing with the original case (1) posed on intervals  $I \subseteq \mathbb{R}$ , see [8, 13, 15]. In [13], the authors considered the following class of the Kirchhoff-type second-order impulsive differential problem:

$$\begin{cases} K \left( \int_0^{+\infty} (|u'(t)|^2 + q(t)|u(t)|^2) dt \right) (-u''(t) + q(t)u(t)) = \lambda f(t, u(t)), & t \in [0, +\infty), \quad t \neq t_j, \\ \Delta(u'(t_j)) = \lambda I_j(u(t_j)), \\ u'(0^+) = g(u(0)), \quad u'(+\infty) = 0, \end{cases}$$

where  $K : [0, +\infty) \rightarrow \mathbb{R}$  is a continuous function,  $q \in L^\infty[0, +\infty)$ ,  $\lambda$  is a control parameter,  $I_j \in C(\mathbb{R}, \mathbb{R})$  for  $1 \leq j \leq p$ ,  $0 = t_0 < t_1 < t_2 < \dots < t_p < +\infty$ ,  $\Delta(u'(t_j)) = u'(t_j^+) - u'(t_j^-) = \lim_{t \rightarrow t_j^+} u'(t) - \lim_{t \rightarrow t_j^-} u'(t)$ ,  $f : [0, +\infty) \times \mathbb{R} \rightarrow \mathbb{R}$  is an  $L^2$ -Carathéodory function, and  $g : \mathbb{R} \rightarrow \mathbb{R}$  is a Lipschitz continuous function. Using variational methods, they established the existence of at least one weak solution as well as infinitely many weak solutions for the above problem.

In [8], the authors investigated the following Kirchhoff-type second-order boundary value problem:

$$\begin{cases} \left(a + \lambda \int_0^{+\infty} (u'(t)^2 + bu(t)^2) dt\right) (-u''(t) + bu(t)) = f(u(t)) \text{ for a.e. } t \in (0, +\infty), \\ u(0) = u(+\infty) = 0, \end{cases}$$

where  $a$  and  $b$  are positive constants,  $\lambda \geq 0$  is a parameter and  $f \in C(\mathbb{R}^+, \mathbb{R}^+)$ . By using the mountain pass lemma in combination with the Pohozaev identity, they established the existence of a positive solution for the above equation in the case where  $f$  is superlinear.

When  $b = 0$  and  $a = 1$  in  $(\mathcal{P}_b)$ , equation  $(\mathcal{P}_b)$  becomes the following semilinear equation:

$$-u'' + p(x)u = f(x, u). \tag{2}$$

Some interesting studies related to (2) by variational methods can be found in [5, 6, 7, 12, 19, 22].

Motivated by the above works, in the present paper, by using Ekeland's variational principle we first investigate the existence of solutions to problem  $(\mathcal{P}_b)$  with sublinear nonlinearity  $f$ . Then, we study the existence of solutions in the case where  $f$  is a superlinear function by using the mountain pass theorem. Finally, we discuss the asymptotic behavior of the obtained solutions in both cases with respect to the parameter  $b$ .

The outline of this paper is as follows. In Section 2, we introduce the variational framework associated with problem  $(\mathcal{P}_b)$ . Section 3 is devoted to the study of the sublinear case and the proof of Theorems 1 and 2. In Section 4, we focus on the study of the superlinear case and establish the proof of Theorem 4.

## 2. Variational framework

Throughout this paper, we use the following notations:

- $\|\cdot\|_r$  denotes the usual  $L^r$ -norm for  $r \in [1, +\infty)$ ;
- $X^*$  denotes the topological dual space of the Banach space  $X$ ;

- $C_c(0, +\infty)$  denotes the space of continuous functions with compact support in  $(0, +\infty)$ ;
- $\rightharpoonup$  denotes the weak convergence in  $X$ ;
- $B_\rho$  denotes the open ball of center 0 and radius  $\rho$ ;
- $\overline{B}_\rho$  denotes the closed ball of center 0 and radius  $\rho$ ;
- $\partial B_\rho := \{y \in X : \|y\| = \rho\}$ ;
- $o_n(1) \rightarrow 0$  as  $n \rightarrow \infty$ ;

We consider the Sobolev space

$$H_0^1(0, +\infty) = \{u \in L^2(0, +\infty) : u' \in L^2(0, +\infty), u(0) = 0\},$$

and let  $H$  be the subspace of  $H_0^1(0, +\infty)$  defined by

$$H = \left\{ u \in H_0^1(0, +\infty) : \int_0^{+\infty} p(x)u^2 dx < +\infty \right\}.$$

Obviously,  $H$  is a Hilbert space with a scalar product and norm given by

$$(u, v) = \int_0^{+\infty} (au'v' + p(x)uv) dx \quad \text{and} \quad \|u\|^2 = \int_0^{+\infty} (a|u'|^2 + p(x)u^2) dx,$$

for all  $u, v \in H$ .

Under the assumption (P), it is easy to show that  $H$  is embedded continuously into  $H_0^1(0, +\infty)$  and therefore also into  $L^r(0, +\infty)$  for  $r \in [2, +\infty]$ . Thus, there exists  $\mu_r > 0$  such that

$$\|u\|_r \leq \mu_r \|u\|, \quad \forall u \in H. \quad (3)$$

We notice that if  $u \in H$ , then  $\lim_{x \rightarrow +\infty} u(x) = 0$ .

For the problem  $(\mathcal{P}_b)$ , the associated energy functional is defined on  $H$  as follows:

$$I_b(u) = \frac{1}{2} \|u\|^2 + \frac{b}{4} \left( \int_0^{+\infty} |u'|^2 dx \right)^2 - \int_0^{+\infty} q(x)F(u) dx, \quad (4)$$

where  $F(u) = \int_0^u f(s) ds$ .

We have the following result.

**Lemma 1.** *The functional  $I_b$  is of class  $C^1$  on  $H$ , and*

$$\langle I_b'(u), v \rangle = (u, v) + b \left( \int_0^{+\infty} |u'|^2 dx \right) \int_0^{+\infty} u'v' dx - \int_0^{+\infty} q(x)f(u)v dx,$$

for all  $u, v \in H$ .

*Proof.* We consider the functional  $J$  defined on  $H$  by

$$J(u) = \int_0^{+\infty} q(x)F(u) dx.$$

By combining  $(f_1)$  and  $(F_1)$  (which are introduced in Section 3 and Section 4) with (3), we can easily derive that for any  $u \in H$ , the inequality

$$|f(u)| \leq C_{\|u\|}, \quad (5)$$

where  $C_{\|u\|} > 0$  is the constant given by

$$C_{\|u\|} = \begin{cases} \alpha_1 \mu_\infty^{\theta-1} \|u\|^{\theta-1}, & \text{if } (f_1) \text{ holds,} \\ \alpha_2 + \beta \mu_\infty^{\theta-1} \|u\|^{\theta-1}, & \text{if } (F_1) \text{ holds.} \end{cases}$$

Then, it follows that

$$|F(u)| \leq \mu_\infty C_{\|u\|} \|u\|, \quad \forall u \in H,$$

which implies that  $J$  is well-defined on  $H$  since  $q \in L^1(0, +\infty)$ .

To prove that  $I_b$  is of class  $C^1$  on  $H$ , it is sufficient to prove this property only for  $J$ . For this purpose, firstly we prove that  $J$  is Gâteaux differentiable, and then we show that  $J'_G$  is continuous.

**Claim 1.**  $J$  is Gâteaux differentiable.

It is obvious that for all  $u, v \in H$ , and almost every  $x \in (0, +\infty)$

$$\lim_{t \rightarrow 0} q(x) \frac{F(u(x) + tv(x)) - F(u(x))}{t} = q(x) f(u(x)) v(x).$$

Indeed, by the mean value theorem there exists a real number  $0 < \theta_t < |t|$  with  $|t| \leq 1$  such that

$$q(x) \left( F(u(x) + tv(x)) - F(u(x)) \right) = tq(x) f(u(x) + \theta_t v(x)) v(x), \quad (6)$$

and by continuity of  $f$  we obtain the result.

Once again, from  $(f_1)$ ,  $(F_1)$ , (3) and the inequality  $|a + b|^r \leq \gamma_r (|a|^r + |b|^r)$  with  $a, b \in \mathbb{R}$  we check that

$$|f(u(x) + \theta_t v(x)) v(x)| \leq C_{\|u\|, \|v\|}, \quad (7)$$

where  $C_{\|u\|, \|v\|} > 0$  denotes the constant given by

$$C_{\|u\|, \|v\|} = \mu_\infty \|v\| \begin{cases} \alpha_1 \gamma_{\theta-1} \mu_\infty^{\theta-1} (\|u\|^{\theta-1} + \|v\|^{\theta-1}), & \text{if } (f_1) \text{ holds,} \\ \alpha_2 + \beta \gamma_{\theta-1} \mu_\infty^{\theta-1} (\|u\|^{\theta-1} + \|v\|^{\theta-1}), & \text{if } (F_1) \text{ holds.} \end{cases}$$

Hence, from (6) and (7), we conclude that

$$\begin{aligned} q(x) \left| \frac{F(u(x) + tv(x)) - F(u(x))}{t} \right| &= q(x) |f(u(x) + \theta_t v(x)) v(x)| \\ &\leq C_{\|u\|, \|v\|} q(x). \end{aligned}$$

As the function  $q \in L^1(0, +\infty)$ , by the Lebesgue dominated convergence theorem we have

$$\lim_{t \rightarrow 0} \int_0^{+\infty} q(x) \frac{F(u + tv) - F(u)}{t} dx = \int_0^{+\infty} q(x) f(u) v dx.$$

Since the right-hand side, as a function of  $v$ , is a continuous and linear functional on  $H$ , it is the Gâteaux differential  $J'_G$  of  $J$ .

**Claim 2.**  $J'_G$  is continuous.

We complete the proof by checking that the function  $J'_G$  is continuous on  $H^*$ . For this purpose, let take  $\{u_n\}$  in  $H$  such that  $u_n \rightarrow u$  as  $n \rightarrow +\infty$ . Up to a subsequence, we may assume that

1.  $u_n \rightarrow u$  in  $L^r(0, +\infty)$ ,  $\forall r \in [2, +\infty]$ ;
2.  $u_n(x) \rightarrow u(x)$  a.e in  $(0, +\infty)$ .

We have for all  $v \in H$

$$\left| \langle J'_G(u_n) - J'_G(u), v \rangle \right| \leq \int_0^{+\infty} q(x) |f(u_n) - f(u)| |v| dx.$$

By continuity of  $f$ , it is clear that

$$f(u_n(x)) \longrightarrow f(u(x)), \quad \text{a.e } x \in (0, +\infty).$$

Since the sequence  $\{u_n\}$  is convergent, it is bounded in  $H$ , and therefore there exists a constant  $M > 0$  such that

$$\|u_n\| \leq M, \quad \forall n \in \mathbb{N}. \quad (8)$$

Thus, taking into account (5) and (8), one has

$$q(x)|f(u_n(x))| \leq Cq(x) \in L^1(0, +\infty),$$

where  $C > 0$  is defined as

$$C = \begin{cases} \alpha_1 \mu_\infty^{\theta-1} M^{\theta-1}, & \text{if } (f_1) \text{ holds,} \\ \alpha_2 + \beta \mu_\infty^{\theta-1} M^{\theta-1}, & \text{if } (F_1) \text{ holds,} \end{cases}$$

and once again by the Lebesgue dominated convergence theorem, we get

$$\int_0^{+\infty} q(x)f(u_n)dx \longrightarrow \int_0^{+\infty} q(x)f(u)dx \quad \text{as } n \longrightarrow +\infty.$$

Hence

$$\begin{aligned} |\langle J'_G(u_n) - J'_G(u), v \rangle| &\leq \int_0^{+\infty} q(x)|f(u_n) - f(u)||v|dx, \\ &\leq \mu_\infty \|v\| \int_0^{+\infty} q(x)|f(u_n) - f(u)|dx, \quad \forall v \in H, \end{aligned}$$

and this implies that

$$\sup_{\|v\| \leq 1} |\langle J'_G(u_n) - J'_G(u), v \rangle| \leq \mu_\infty \int_0^{+\infty} q(x)|f(u_n) - f(u)|dx \longrightarrow 0, \quad \text{as } n \longrightarrow +\infty.$$

Consequently, we conclude that

$$\|J'_G(u_n) - J'_G(u)\|_{H^*} \longrightarrow 0, \quad \text{as } n \longrightarrow +\infty,$$

which implies the continuity of  $J'_G$ . The proof is completed.  $\square$

**Definition 1.** We say that  $u$  is a weak solution of problem  $(\mathcal{P}_b)$  if for any  $v \in H$  we have

$$(u, v) + b \left( \int_0^{+\infty} |u'|^2 dx \right) \int_0^{+\infty} u'v' dx - \int_0^{+\infty} q(x)f(u)v dx = 0.$$

*Remark 1.* From Lemma 1 and Definition 1, we deduce that the critical points of  $I_b$  correspond to the weak solutions of  $(\mathcal{P}_b)$ .

### 3. The sublinear case

In this section, we investigate problem  $(\mathcal{P}_b)$  in the case where the nonlinear term  $f$  has sublinear growth. The main results of this section are stated as follows.

**Theorem 1.** Assume that

$$(P) \quad p \in C(\mathbb{R}^+) \text{ and } \inf_{x \in \mathbb{R}^+} p(x) \geq p_0 > 0;$$

$$(Q) \quad q : \mathbb{R}^+ \rightarrow \mathbb{R}_*^+ \text{ such that } q \in L^1(0, +\infty).$$

(f<sub>1</sub>) There exist  $\alpha_1 > 0$  and  $\theta \in (1, 2)$  such that

$$|f(s)| \leq \alpha_1 |s|^{\theta-1}, \quad \forall s \in \mathbb{R};$$

$$(f_2) \lim_{s \rightarrow 0} \frac{f(s)}{|s|} = +\infty.$$

Then problem  $(\mathcal{P}_b)$  has at least one nontrivial solution.

**Theorem 2.** Let  $(P)$ ,  $(Q)$ ,  $(f_1)$  and  $(f_2)$  hold. Then, for any sequence  $\{b_n\} \subset (0, +\infty)$  with  $b_n \rightarrow 0$  as  $n \rightarrow +\infty$ , there exist a subsequence, still denoted by  $\{b_n\}$ , and  $u_* \in H$  such that  $u_n \rightarrow u_*$  in  $H$ , where  $u_*$  is a solution of the equation

$$\begin{cases} -au'' + p(x)u = q(x)f(u), & x \in (0, +\infty), \\ u(0) = 0. \end{cases} \quad (\mathcal{P}_0)$$

*Remark 2.* Since equation  $(\mathcal{P}_b)$  is set on the unbounded interval  $[0, \infty)$ , the main difficulty is the lack of compactness of the embedding  $H_0^1(0, +\infty) \hookrightarrow L^r(0, +\infty)$  for  $r \geq 2$ . Indeed, when using variational methods, we need to prove that the energy functional associated to  $(\mathcal{P}_b)$  satisfies the Palais-Smale compactness condition, that is, any sequence  $\{u_n\} \subset H^1(0, +\infty)$  satisfying (27) has a convergent subsequence. To this end, a careful analysis of the energy functional and its derivative is given (see pp. 14-15) to prove the convergence of the  $(PS)$  sequence.

### 3.1. Technical lemmas

In this subsection, we will prove some lemmas which will be used for proving theorem 1.

**Lemma 2.** The functional  $I_b$  is bounded from below on  $\overline{B}_\rho$ , where  $\rho > 0$ .

*Proof.* From  $(f_1)$ , one has

$$\frac{|f(s)|}{|s|} \leq \alpha_1 |s|^{\theta-2}, \quad \forall s \in \mathbb{R}^*.$$

Since  $1 < \theta < 2$ , we deduce that

$$\lim_{s \rightarrow +\infty} \frac{f(s)}{s} = 0,$$

and then, for all  $\varepsilon > 0$ , we get

$$|f(u)| \leq \varepsilon |u| + \alpha_1 |u|^{\theta-1} \quad \text{and} \quad |F(u)| \leq \frac{\varepsilon}{2} |u|^2 + \frac{\alpha_1}{\theta} |u|^\theta, \quad \forall u \in \mathbb{R}. \quad (9)$$

Therefore, by (3), (4), (9), and the fact that  $b \geq 0$ , for all  $u \in H$  we get

$$\begin{aligned} I_b(u) &= \frac{1}{2} \|u\|^2 + \frac{b}{4} \left( \int_0^{+\infty} |u'|^2 dx \right)^2 - \int_0^{+\infty} q(x) F(u) dx \\ &\geq \frac{1}{2} \|u\|^2 - \int_0^{+\infty} q(x) \left[ \frac{\varepsilon}{2} |u|^2 + \frac{\alpha_1}{\theta} |u|^\theta \right] dx \\ &= \frac{1}{2} \|u\|^2 - \frac{\varepsilon}{2} \int_0^{+\infty} q(x) |u|^2 dx - \frac{\alpha_1}{\theta} \int_0^{+\infty} q(x) |u|^\theta dx \\ &\geq \frac{1}{2} \|u\|^2 - \frac{\mu_\infty^2 \varepsilon}{2} \|q\|_1 \|u\|^2 - \frac{\mu_\infty^\theta \alpha_1}{\theta} \|q\|_1 \|u\|^\theta \\ &= \frac{1}{2} \left( 1 - \varepsilon \mu_\infty^2 \|q\|_1 \right) \|u\|^2 - \frac{\alpha_1 \mu_\infty^\theta}{\theta} \|q\|_1 \|u\|^\theta. \end{aligned}$$

By choosing  $0 < \varepsilon \leq \frac{1}{2\mu_\infty^2 \|q\|_1}$ , we obtain

$$\begin{aligned} I_b(u) &\geq \frac{1}{4} \|u\|^2 - \frac{\alpha_1 \mu_\infty^\theta}{\theta} \|q\|_1 \|u\|^\theta \\ &= \frac{1}{4} \left(1 - C \|u\|^{\theta-2}\right) \|u\|^2. \end{aligned}$$

Since  $1 < \theta < 2$ , choosing  $\rho > 0$  sufficiently large, we conclude that there exists a constant  $\alpha > 0$  such that

$$I_b(u) \geq \alpha > 0 \quad \text{whenever} \quad \|u\| = \rho, \quad \text{and then} \quad \inf_{u \in \partial B_\rho} I_b(u) > 0.$$

If  $\|u\| \leq \rho$ , then

$$I_b(u) \geq \frac{1}{4} \left( \|u\|^2 - C \|u\|^\theta \right) \geq -\frac{C}{4} \rho^\theta := -C_1.$$

Thus, the functional  $I_b$  is bounded from below on  $\overline{B}_\rho$ .

Therefore,  $\inf_{u \in \overline{B}_\rho} I_b(u)$  exists, and we put

$$c_b := \inf_{u \in \overline{B}_\rho} I_b(u).$$

□

**Lemma 3.** *We have  $c_b < 0$ .*

*Proof.* By combining  $(f_1)$  and  $(f_2)$ , it follows that for all  $M > 0$

$$F(u) \geq M|u|^2 - \frac{\alpha_1}{\theta} |u|^\theta, \quad \forall u \in \mathbb{R}. \quad (10)$$

Let  $R > 1$  and let  $\psi_R$  be the function defined on  $[0, +\infty)$  by

$$\psi_R(x) = \begin{cases} \frac{x}{R^2}, & \text{if } x \in [0, R], \\ \frac{1}{R}, & \text{if } x \in [R, 2R], \\ -\frac{x}{R^2} + \frac{3}{R}, & \text{if } x \in [2R, 3R], \\ 0, & \text{if } x \in [3R, +\infty). \end{cases} \quad (11)$$

Since  $\psi_R \in C_c(0, +\infty)$ , it follows that  $\psi_R \in H$ . Let

$$\varphi_R = \frac{\rho}{2\|\psi_R\|} \psi_R.$$

It is clear that  $\varphi_R \in H$  and by a straightforward computation we get

$$\|\varphi_R\| = \frac{\rho}{2}, \quad \|\psi'_R\|_2^2 = \frac{2}{R^3} \quad \text{and} \quad \|\varphi'_R\|_2^2 = \frac{\rho^2}{2\|\psi_R\|^2 R^3}, \quad (12)$$

which implies that  $\varphi_R \in \overline{B}_\rho$  for all  $R > 1$ .

Moreover, by (10), (11) and (12), we have

$$\begin{aligned} I_b(\varphi_R) &= \frac{1}{2} \|\varphi_R\|^2 + \frac{b}{4} \|\varphi'_R\|_2^4 - \int_0^{+\infty} q(x) F(\varphi_R) dx \\ &= \frac{\rho^2}{8} + \frac{b}{4} \|\varphi'_R\|_2^4 - \int_0^{+\infty} q(x) F(\varphi_R) dx \\ &\leq \frac{\rho^2}{8} + \frac{b\rho^4}{16\|\psi_R\|^4 R^6} - M \int_0^{+\infty} q(x) \varphi_R^2(x) dx + \frac{\alpha_1}{\theta} \int_0^{+\infty} q(x) \varphi_R^\theta(x) dx \\ &\leq \frac{\rho^2}{8} + \frac{b\rho^4}{64a^2} - M \int_0^{+\infty} q(x) \varphi_R^2(x) dx + \frac{\alpha_1 \rho^\theta}{2\theta} \mu_\infty^\theta \|q\|_1, \end{aligned}$$

and then

$$I_b(\varphi_R) \leq g(\rho) - M \int_0^{+\infty} q(x) \varphi_R^2(x) dx,$$

where  $g(\rho) = \frac{\rho^2}{8} + \frac{b\rho^4}{64a^2} + \frac{\alpha_1\mu_\infty^\theta}{2\theta\theta} \|q\|_1 \rho^\theta > 0$ .

By choosing

$$M > \frac{g(\rho)}{\int_0^{+\infty} q(x) \varphi_R^2(x) dx},$$

one has

$$I_b(\varphi_R) < 0.$$

Consequently, we get

$$c_b = \inf_{u \in \overline{B}_\rho} I_b(u) \leq I_b(\varphi_R) < 0.$$

This completes the proof.  $\square$

From Lemma 2 and Lemma 3, we deduce that

$$\inf_{u \in \overline{B}_\rho} I_b(u) < 0 < \inf_{u \in \partial \overline{B}_\rho} I_b(u).$$

### 3.2. Proof of the main result

In this subsection, we shall give the proof of Theorem 1 with the use of the following variational principle.

**Theorem 3** (Ekeland's variational principle, [10]). *Let  $M$  be a complete metric space with metric  $d$  and let  $J : M \rightarrow \mathbb{R}$  a lower semicontinuous functional bounded from below. Then, for each  $\varepsilon > 0$ , there exists  $u_\varepsilon \in M$  such that*

$$J(u_\varepsilon) \leq \inf_M J + \varepsilon,$$

and whenever  $w \in M$

$$J(u_\varepsilon) \leq J(w) + \varepsilon d(u_\varepsilon, w).$$

**Proof of Theorem 1.** From Lemma 1 and Lemma 2, it is clear that the functional  $I_b$  is lower semicontinuous and bounded from below in the complete metric space  $\overline{B}_\rho$ . Then, by applying Ekeland's variational principle, there exists a sequence  $\{u_n\} \subset \overline{B}_\rho$  such that

$$I_b(u_n) \leq c_b + \frac{1}{n} \quad \text{and} \quad I_b(u_n) \leq I_b(w) + \frac{1}{n} \|w - u_n\|, \quad \forall w \in \overline{B}_\rho.$$

Note that for  $n \in \mathbb{N}$  with

$$\frac{1}{n} \in \left(0, \inf_{\partial \overline{B}_\rho} I_b - \inf_{\overline{B}_\rho} I_b\right),$$

one has

$$I_b(u_n) \leq c_b + \frac{1}{n} < \inf_{\partial \overline{B}_\rho} I_b,$$

which implies that  $u_n \in B_\rho$  for  $n \in \mathbb{N}$  large enough.

On the one hand, for  $w = u_n + tv$  with  $t > 0$  and  $v \in H$ , we get

$$\frac{I_b(u_n) - I_b(u_n + tv)}{t} \leq \frac{1}{n} \|v\|$$

and then

$$-\langle I'_b(u_n), v \rangle \leq \frac{1}{n} \|v\|. \quad (13)$$

On the other hand, if we put  $w = u_n - tv$ , we obtain

$$\langle I'_b(u_n), v \rangle \leq \frac{1}{n} \|v\|. \quad (14)$$

Combining (13) with (14), we can assert that

$$|\langle I'_b(u_n), v \rangle| \leq \frac{1}{n} \|v\|, \quad \forall v \in H,$$

and then

$$\sup_{\|v\| \leq 1} |\langle I'_b(u_n), v \rangle| \leq \frac{1}{n},$$

which implies that

$$\|I'_b(u_n)\|_{H^*} \longrightarrow 0, \quad \text{as } n \longrightarrow +\infty.$$

Consequently, we have proved that

$$I_b(u_n) \longrightarrow c_b \quad \text{and} \quad I'_b(u_n) \longrightarrow 0 \text{ in } H^*. \quad (15)$$

Since  $\{u_n\}$  is bounded in  $\overline{B}_\rho$ , which is a closed set, there exist a subsequence still denoted by  $\{u_n\}$  and  $u_0 \in \overline{B}_\rho \subset H$  such that

$$\begin{aligned} u_n &\rightharpoonup u_0 \text{ weakly in } H, \\ u'_n &\rightharpoonup u'_0 \text{ weakly in } L^2(0, +\infty), \\ u_n(x) &\rightarrow u_0(x) \quad \text{a.e in } (0, +\infty). \end{aligned} \quad (16)$$

It follows from (16) and the continuity of  $f$  that

$$q(x) \left[ f(u_n(x)) - f(u_0(x)) \right] (u_n(x) - u_0(x)) \longrightarrow 0, \quad \text{as } n \longrightarrow +\infty.$$

Moreover, by  $(f_1)$ , (3) and the boundedness of  $\{u_n\}$ , one has

$$\begin{aligned} q(x) \left| \left[ f(u_n(x)) - f(u_0(x)) \right] (u_n(x) - u_0(x)) \right| &\leq q(x) \left[ |f(u_n(x))| + |f(u_0(x))| \right] (|u_n(x)| + |u_0(x)|) \\ &\leq \alpha_1^2 q(x) (\|u_n\|_\infty^{\theta-1} + \|u_0\|_\infty^{\theta-1}) (\|u_n\|_\infty + \|u_0\|_\infty) \\ &\leq \alpha_1^2 \mu_\infty^\theta q(x) (\|u_n\|^\theta + \|u_0\|^\theta) (\|u_n\| + \|u_0\|) \\ &\leq C q(x). \end{aligned}$$

As the function  $q$  is in  $L^1(0, +\infty)$ , by the Lebesgue dominated convergence theorem we get

$$\int_0^{+\infty} q(x) [f(u_n) - f(u_0)] (u_n - u_0) dx \longrightarrow 0, \quad \text{as } n \longrightarrow +\infty. \quad (17)$$

On the other hand, since  $I'_b(u_n) \longrightarrow 0$  in  $H^*$  and by (16), one has

$$\langle I'_b(u_n) - I'_b(u_0), u_n - u_0 \rangle \longrightarrow 0, \quad \text{as } n \longrightarrow +\infty. \quad (18)$$

By a straightforward computation we get

$$\begin{aligned} \|u_n - u_0\|^2 &= \langle I'_b(u_n) - I'_b(u_0), u_n - u_0 \rangle - b \|u'_n\|_2^2 \int_0^{+\infty} u'_n (u'_n - u'_0) dx + b \|u'_0\|_2^2 \int_0^{+\infty} u'_0 (u'_n - u'_0) dx \\ &\quad + \int_0^{+\infty} q(x) [f(u_n) - f(u_0)] (u_n - u_0) dx, \end{aligned}$$

and taking into account (17), (18), Young's inequality and Hölder's inequality, we obtain

$$\begin{aligned}
 o_n(1) &= \|u_n - u_0\|^2 + b\|u'_n\|_2^2 \int_0^{+\infty} u'_n(u'_n - u'_0)dx - b\|u'_0\|_2^2 \int_0^{+\infty} u'_0(u'_n - u'_0)dx \\
 &= \|u_n - u_0\|^2 + b\left(\|u'_n\|_2^4 - \|u'_n\|_2^2 \int_0^{+\infty} u'_n u'_0 dx - \|u'_0\|_2^2 \int_0^{+\infty} u'_n u'_0 dx + \|u'_0\|_2^4\right) \\
 &\geq \|u_n - u_0\|^2 + b\left(\|u'_n\|_2^4 - \frac{1}{2}\|u'_n\|_2^4 - \frac{1}{2}\|u'_n\|_2^2 \|u'_0\|_2^2 - \frac{1}{2}\|u'_0\|_2^2 \|u'_n\|_2^2 - \frac{1}{2}\|u'_0\|_2^4 + \|u'_0\|_2^4\right) \\
 &= \|u_n - u_0\|^2 + b\left(\frac{1}{2}\|u'_n\|_2^4 - \|u'_n\|_2^2 \|u'_0\|_2^2 + \frac{1}{2}\|u'_0\|_2^4\right) \\
 &= \|u_n - u_0\|^2 + \frac{b}{2}\left(\|u'_n\|_2^2 - \|u'_0\|_2^2\right)^2. \tag{19}
 \end{aligned}$$

By passing to the limit in (19) as  $n \rightarrow +\infty$ , one has

$$u_n \rightarrow u_0 \text{ strongly in } H \text{ and } \|u'_n\|_2 \rightarrow \|u'_0\|_2. \tag{20}$$

From (16) and (20) we deduce that

$$\lim_{n \rightarrow +\infty} \left( \int_0^{+\infty} |u'_n|^2 dx \right) \int_0^{+\infty} u'_n v' dx = \left( \int_0^{+\infty} |u'_0|^2 dx \right) \int_0^{+\infty} u'_0 v' dx.$$

Consequently, by passing to the limit in  $\langle I'_b(u_n), v \rangle$  as  $n \rightarrow +\infty$  and by using once again the dominated convergence theorem, we obtain for all  $v \in H$

$$\lim_{n \rightarrow +\infty} \langle I'_b(u_n), v \rangle = (u_0, v) + b \left( \int_0^{+\infty} |u'_0|^2 dx \right) \int_0^{+\infty} u'_0 v' dx - \int_0^{+\infty} q(x) f(u_0) v dx,$$

and from (15) we deduce that

$$(u_0, v) + b \left( \int_0^{+\infty} |u'_0|^2 dx \right) \int_0^{+\infty} u'_0 v' dx - \int_0^{+\infty} q(x) f(u_0) v dx = 0, \quad \forall v \in H.$$

Finally, from (15), (20) and the fact that  $I \in C^1(H, \mathbb{R})$ , we deduce that

$$I'_b(u_0) = 0 \quad \text{and} \quad I_b(u_0) = c_b,$$

which means that  $u_0$  is a critical point of  $I_b$  and then a weak solution of problem  $(\mathcal{P}_b)$ . The proof is complete.  $\square$

### 3.3. The asymptotic behavior of solutions

In this subsection, we investigate the asymptotic behavior of solutions obtained in Theorem 1 with respect to the parameter  $b$ .

**Proof of Theorem 2.** Noticing that  $b = 0$  is allowed in the proof of Theorem 1. Therefore, there exists a solution  $v_* \in H$  to problem  $(\mathcal{P}_0)$  such that

$$I_0(v_*) = c_0 \quad \text{and} \quad I'_0(v_*) = 0,$$

where  $c_0 = \inf_{u \in \overline{B}_\rho} I_0(u)$ .

For any sequence  $\{b_n\} \subset (0, +\infty)$  with  $b_n \rightarrow 0$  as  $n \rightarrow +\infty$ , let  $u_n := u_{b_n} \in \overline{B}_\rho \subset H$  be a solution of problem  $(\mathcal{P}_b)$  obtained by Theorem 1. Then we have

$$I_{b_n}(u_n) = c_{b_n} < 0 \quad \text{and} \quad I'_{b_n}(u_n) = 0 \text{ in } H^* \tag{21}$$

with  $c_{b_n} = \inf_{u \in \overline{B}_\rho} I_{b_n}(u)$ . Therefore

$$(u_n, v) + b_n \left( \int_0^{+\infty} |u'_n|^2 dx \right) \int_0^{+\infty} u'_n v' dx - \int_0^{+\infty} q(x) f(u_n) v dx = 0, \quad \forall v \in H. \quad (22)$$

Since  $u_n \in \overline{B}_\rho$ , it follows that the sequence  $\{u_n\}$  is bounded in  $H$ , and then there exists  $u_* \in H$  such that up to a subsequence

$$\begin{aligned} u_n &\rightharpoonup u_* \text{ weakly in } H, \\ u'_n &\rightharpoonup u'_* \text{ weakly in } L^2(0, +\infty), \\ u_n(x) &\rightarrow u_*(x) \quad \text{a.e in } (0, +\infty). \end{aligned} \quad (23)$$

By using (21), (23), the dominated convergence theorem and the fact that  $b_n \rightarrow 0$ , it is easy to show that

$$\langle I'_{b_n}(u_n) - I'_{b_n}(u_*), u_n - u_* \rangle \rightarrow 0, \quad \text{as } n \rightarrow +\infty.$$

By similar arguments as in (19), we prove that

$$u_n \rightarrow u_* \text{ strongly in } H \quad \text{and} \quad \|u'_n\|_2 \rightarrow \|u'_*\|_2. \quad (24)$$

By passing to the limit in (22) as  $n \rightarrow \infty$ , one has

$$(u_*, v) - \int_0^{+\infty} q(x) f(u_*) v dx = 0, \quad \forall v \in H,$$

which means that  $u_*$  is a weak solution of the following equation:

$$\begin{cases} -au'' + p(x)u = q(x)f(u), & x \in (0, +\infty), \\ u(0) = 0. \end{cases}$$

Next, we prove that  $I_0(u_*) = c_0$ . By using once again the dominated convergence theorem, and combining (23) and (24) with the fact that  $b_n \rightarrow 0$ , it holds that

$$c_{b_n} \rightarrow I_0(u_*).$$

In view of the definition of  $c_0$ , we assert that

$$I_0(u_*) \geq c_0. \quad (25)$$

Thus, it follows from (4) and (25)

$$c_0 = I_0(v_*) = I_{b_n}(v_*) - \frac{b_n}{4} \|v'_*\|_2^4 \geq c_{b_n} - \frac{b_n}{4} \|v'_*\|_2^4,$$

which yields

$$\lim_{n \rightarrow +\infty} c_{b_n} \leq c_0,$$

or else

$$I_0(u_*) \leq c_0. \quad (26)$$

Hence, by (25) and (26) we deduce that

$$I_0(u_*) = c_0.$$

The proof is complete.  $\square$

### 3.4. Example

Let  $f(x) = x^{\frac{1}{5}}$ ,  $p(x) = e^x$  and  $q(x) = \frac{1}{1+x^2}$ . It can be seen that (P), (Q),  $(f_1)$  and  $(f_2)$  are satisfied.

Then, by Theorem 1, the problem

$$\begin{cases} -\left(a + b \int_0^{+\infty} |u'| dx\right) u'' + e^x u = \frac{1}{1+x^2} u^{\frac{1}{5}}, & x \in (0, +\infty); \\ u(0) = 0 \end{cases}$$

has at least one nontrivial solution.

#### 4. The superlinear case

In this section, we analyze problem  $(\mathcal{P}_b)$  in the case where the nonlinear term  $f$  exhibits superlinear growth. The main results of this section are stated in the following theorem.

**Theorem 4.** *Assume that (P), (Q) hold and  $f$  satisfies*

(F<sub>1</sub>)  $f \in C(\mathbb{R})$  and there exist  $\theta > 2$ ,  $\alpha_2, \beta > 0$  such that

$$|f(s)| \leq \alpha_2 + \beta|s|^{\theta-1} \quad \forall s \in \mathbb{R};$$

(F<sub>2</sub>)  $\lim_{s \rightarrow 0} \frac{f(s)}{s} = 0$ ;

(F<sub>3</sub>)  $\lim_{s \rightarrow \infty} \frac{F(s)}{s^2} = +\infty$ , where  $F(s) = \int_0^s f(t)dt$ ;

(F<sub>4</sub>) there exists  $L > 0$  such that

$$4F(s) \leq f(s)s, \quad \forall |s| \geq L.$$

Then, problem  $(\mathcal{P}_b)$  has at least one nontrivial solution. Moreover, for every vanishing sequence  $\{b_n\}$ , let  $u_{b_n}$  be a solution of problem  $(\mathcal{P}_b)$ . Then, the sequence  $\{u_{b_n}\}$  converges to  $u_0$  in  $H$ , where  $u_0$  is a solution of the problem

$$\begin{cases} -au'' + p(x)u = q(x)f(u), & x \in (0, +\infty), \\ u(0) = 0. \end{cases} \quad (\mathcal{P}_0)$$

*Remark 3.* Since the energy functional associated to  $(\mathcal{P}_b)$  involves a 4-order homogeneous term (i.e.,  $\left(\int_0^{+\infty} |u'|^2 dx\right)^2$ ), it is natural to impose the well-known Ambrosetti–Rabinowitz condition (see [2]), namely,

$$\text{there exists } \mu > 4 \text{ such that } 0 < \mu F(u) \leq u f(u) \text{ for all } u \in \mathbb{R}. \quad (\text{AR})$$

This condition has two crucial uses. The first one is to check the mountain pass geometry for the energy functional  $I_b$  and the second one is to guarantee the Palais-Smale compactness condition. Our assumptions (F<sub>3</sub>) and (F<sub>4</sub>) are very relaxed compared with (AR)-condition. To see this, consider the function  $F(u) = u^4 \ln(1 + u^2)$ . It is easy to check that  $F$  and its derivative  $f$  satisfy (F<sub>3</sub>)-(F<sub>4</sub>) but not (AR).

In order to prove Theorem 4, we will need the following definition and theorem.

**Definition 2.** A functional  $I \in C^1(X, \mathbb{R})$  satisfies the Palais-Smale condition at level  $c \in \mathbb{R}$ , denoted by  $(PS)_c$  if every sequence  $\{u_n\} \subset X$  satisfies

$$I(u_n) \rightarrow c \quad \text{and} \quad I'(u_n) \rightarrow 0, \quad n \rightarrow +\infty, \quad (27)$$

possesses a strongly convergent subsequence.

*Remark 4.* If  $I$  satisfies the  $(PS)_c$  condition for every  $c \in \mathbb{R}$ , then we say that  $I$  satisfies the  $(PS)$  condition.

**Theorem 5.** ([25, Theorem 1.15], mountain pass theorem) *Let  $X$  be a Banach space,  $I \in C^1(X, \mathbb{R})$  satisfies the  $(PS)$  condition,  $I(0) = 0$  and*

1. *There exist  $\rho, \alpha > 0$  such that  $I(v) \geq \alpha$  whenever  $\|v\| = \rho$ .*
2. *There exists  $e \in X$  with  $\|e\| > \rho$  such that  $I(e) \leq 0$ .*

*Then,  $I$  has at least a critical value  $c \geq \alpha$ , which is characterized by*

$$c = \inf_{\gamma \in \Gamma} \max_{t \in [0,1]} I(\gamma(t)),$$

where

$$\Gamma = \{\gamma \in C([0, 1], X) : \gamma(0) = 0, \gamma(1) = e\}.$$

#### 4.1. Some useful lemmas

In this section, we introduce some technical lemmas which will be used to prove our main result.

**Lemma 4.** *Assume that  $(F_1)$  and  $(F_2)$  hold. Then there exist  $\rho_*, \alpha_* > 0$  such that  $I_b(u) \geq \alpha_*$  whenever  $\|u\| = \rho_*$ .*

*Proof.* From  $(F_1)$  and  $(F_2)$ , for all  $\varepsilon > 0$ , there exists  $C_\varepsilon > 0$  such that

$$|f(u)| \leq \varepsilon|u| + C_\varepsilon|u|^{\theta-1} \quad \text{and} \quad |F(u)| \leq \frac{\varepsilon}{2}|u|^2 + \frac{C_\varepsilon}{\theta}|u|^\theta, \quad \forall u \in \mathbb{R}. \quad (28)$$

Hence, from (3), (28) and Hölder's inequality we obtain

$$\begin{aligned} I_b(u) &= \frac{1}{2}\|u\|^2 + \frac{b}{4}\left(\int_0^{+\infty}|u'|^2 dx\right)^2 - \int_0^{+\infty}q(x)F(u)dx \\ &\geq \frac{1}{2}\|u\|^2 - \int_0^{+\infty}q(x)F(u)dx \\ &\geq \frac{1}{2}\|u\|^2 - \int_0^{+\infty}q(x)\left(\frac{\varepsilon}{2}|u|^2 + \frac{C_\varepsilon}{\theta}|u|^\theta\right)dx \\ &\geq \frac{1}{2}\left(1 - \varepsilon\mu_\infty^2\|q\|_1\right)\|u\|^2 - \frac{C_\varepsilon}{\theta}\mu_\infty^\theta\|q\|_1\|u\|^\theta. \end{aligned}$$

By taking  $0 < \varepsilon \leq \frac{1}{2\mu_\infty^2\|q\|_1}$ , one has

$$I_b(u) \geq \frac{1}{4}\|u\|^2 - \frac{C_\varepsilon}{\theta}\mu_\infty^\theta\|q\|_1\|u\|^\theta, \quad \forall u \in H.$$

Taking  $\rho_* = \left[\frac{\theta}{8C_\varepsilon\mu_\infty^\theta\|q\|_1}\right]^{\frac{1}{\theta-2}}$ , then for all  $u \in H$  with  $\|u\| = \rho_*$  we get

$$I_b(u) \geq \frac{1}{4}\left(1 - \frac{4C_\varepsilon}{\theta}\mu_\infty^\theta\|q\|_1\rho_*^{\theta-2}\right)\rho_*^2 = \frac{1}{8}\rho_*^2 := \alpha_* > 0,$$

and this completes the proof.  $\square$

**Lemma 5.** *Assume that  $(F_1)$  and  $(F_3)$  hold. Then there exists a function  $e \in H$  with  $\|e\| > \rho_*$  such that  $I_b(e) \leq 0$ .*

*Proof.* From  $(F_1)$  and  $(F_3)$ , it follows that for all  $M > 0$  there exists a constant  $C_M > 0$  such that

$$F(u) \geq M|u|^2 - C_M|u|, \quad \forall u \in \mathbb{R}. \quad (29)$$

Let  $R > 1$  and we consider the function  $\varphi_R$  defined on  $[0, +\infty)$  by

$$\varphi_R = \frac{2\rho_*}{\|\psi_R\|}\psi_R, \quad (30)$$

where  $\psi_R$  is introduced in (11).

It is clear that  $\varphi_R \in H$  and by a straightforward computation we get

$$\|\varphi_R\| = 2\rho_* \quad \text{and} \quad \|\varphi_R'\|_2^2 = \frac{8\rho_*^2}{\|\psi_R\|^2 R^3}, \quad (31)$$

which implies that  $\varphi_R \in H \setminus \overline{B}_{\rho_*}$  for all  $R > 1$ .

Moreover, by (11), (12), (29), (30) and (31), one has

$$\begin{aligned} I_b(\varphi_R) &= \frac{1}{2}\|\varphi_R\|^2 + \frac{b}{4}\|\varphi_R'\|_2^4 - \int_0^{+\infty}q(x)F(\varphi_R)dx \\ &\leq 2\rho_*^2 + \frac{16b\rho_*^4}{\|\psi_R\|^4 R^6} - M \int_0^{+\infty}q(x)\varphi_R^2(x)dx + C_M \int_0^{+\infty}q(x)\varphi_R(x)dx \\ &\leq 2\rho_*^2 + \frac{4b}{a^2}\rho_*^4 - M \int_0^{+\infty}q(x)\varphi_R^2(x)dx + 2C_M\|q\|_1\mu_\infty\rho_*, \end{aligned}$$

and then

$$I_b(\varphi_R) \leq h(\rho_*) - M \int_0^{+\infty} q(x) \varphi_R^2(x) dx,$$

where  $h(\rho_*) = 2\rho_*^2 + \frac{4b}{a^2}\rho_*^4 + 2C_M \|q\|_1 \mu_\infty \rho_* > 0$ .

By choosing  $M > \frac{h(\rho_*)}{\int_0^{+\infty} q(x) \varphi_R^2(x) dx}$ , we obtain

$$I_b(\varphi_R) < 0.$$

Thus, we complete the proof by taking  $e = \varphi_R \in H \setminus \bar{B}_\rho$ .  $\square$

**Lemma 6.** *Assume that  $(F_1)$ - $(F_3)$  hold. Then the functional  $I_b$  satisfies the (PS) condition.*

*Proof.* Let  $\{u_n\} \subset H$  be a Palais-Smale sequence at level  $c \in \mathbb{R}$ , namely satisfying (27). We easily see that there exists  $C_1 > 0$  such that

$$|I_b(u_n)| \leq C_1 \quad \text{and} \quad |\langle I'_b(u_n), u_n \rangle| \leq C_1 \|u_n\|, \quad \forall n \in \mathbb{N}. \quad (32)$$

We divide the proof into two steps.

**Step 1.** We shall prove that  $\{u_n\}$  is bounded in  $H$ .

Reasoning by contradiction, assume that the sequence  $\{u_n\}$  is unbounded in  $H$ , that is

$$\|u_n\| \longrightarrow +\infty, \quad \text{as} \quad n \longrightarrow +\infty, \quad (33)$$

and set

$$\Omega_n = \{x \in (0, +\infty) : |u_n(x)| \leq L\} \quad \text{and} \quad \Omega'_n = (0, +\infty) \setminus \Omega_n.$$

From  $(F_4)$  and (32), through a direct computation we obtain

$$\begin{aligned} C_1 \left(1 + \frac{1}{4} \|u_n\|\right) &\geq I_b(u_n) - \frac{1}{4} \langle I'_b(u_n), u_n \rangle \\ &= \frac{1}{2} \|u_n\|^2 + \frac{b}{4} \|u'_n\|_2^4 - \int_0^{+\infty} q(x) F(u_n) dx - \frac{1}{4} \left( \|u_n\|^2 + b \|u'_n\|_2^4 - \int_0^{+\infty} q(x) f(u_n) u_n dx \right) \\ &= \frac{1}{4} \|u_n\|^2 + \frac{1}{4} \int_{\Omega_n} q(x) [f(u_n) u_n - 4F(u_n)] dx + \frac{1}{4} \int_{\Omega'_n} q(x) [f(u_n) u_n - 4F(u_n)] dx \\ &\geq \frac{1}{4} \|u_n\|^2 + \frac{1}{4} \int_{\Omega_n} q(x) [f(u_n) u_n - 4F(u_n)] dx, \end{aligned}$$

which yields

$$C_1 \left( \frac{1}{\|u_n\|^2} + \frac{1}{4\|u_n\|} \right) \geq \frac{1}{4} + \frac{1}{4\|u_n\|^2} \int_{\Omega_n} q(x) [f(u_n) u_n - 4F(u_n)] dx. \quad (34)$$

On the other hand, for  $x \in \Omega_n$ , by  $(F_1)$  and (33), it follows that

$$\begin{aligned} q(x) |f(u_n) u_n - 4F(u_n)| &\leq q(x) \left( \alpha_2 |u_n| + \beta |u_n|^\theta + 4\alpha_2 |u_n| + \frac{4\beta}{\theta} |u_n|^\theta \right) \\ &\leq \left( 5\alpha_2 L + \frac{(\theta+4)\beta}{\theta} L^\theta \right) q(x), \end{aligned}$$

and then

$$\begin{aligned} \frac{1}{\|u_n\|^2} \left| \int_{\Omega_n} q(x) f(u_n) u_n - 4F(u_n) dx \right| &\leq \frac{1}{\|u_n\|^2} \left( 5\alpha_2 L + \frac{(\theta+4)\beta}{\theta} L^\theta \right) \int_{\Omega_n} q(x) dx \\ &\leq \frac{1}{\|u_n\|^2} \left( 5\alpha_2 L + \frac{(\theta+4)\beta}{\theta} L^\theta \right) \|q\|_1 \longrightarrow 0, \end{aligned}$$

as  $n \rightarrow +\infty$ . Hence,

$$\frac{1}{\|u_n\|^2} \int_{\Omega_n} q(x) [f(u_n)u_n - 4F(u_n)] dx \rightarrow 0. \quad (35)$$

Taking into account (33) and (35), by passing to the limit in (34) as  $n \rightarrow +\infty$ , we obtain a contradiction. Consequently,  $\{u_n\}$  is bounded in  $H$  and that what needs to be demonstrated.

**Step 2.** We will prove that  $\{u_n\}$  converges strongly in  $H$ .

In Step 1, it can be seen that the sequence  $\{u_n\}$  is bounded in  $H$ ; then we may assume for a subsequence that

$$\begin{aligned} u_n &\rightharpoonup u_0 \text{ weakly in } H, \\ u_n(x) &\rightarrow u_0(x) \text{ a.e in } (0, +\infty). \end{aligned} \quad (36)$$

An easy computation shows that

$$\begin{aligned} \|u_n - u_0\|^2 &= \langle I'_b(u_n) - I'_b(u_0), u_n - u_0 \rangle - b\|u'_n\|_2^2 \int_0^{+\infty} u'_n(u'_n - u'_0) dx + b\|u'_0\|_2^2 \int_0^{+\infty} u'_0(u'_n - u'_0) dx \\ &\quad + \int_0^{+\infty} q(x) (f(u_n) - f(u_0))(u_n - u_0) dx. \end{aligned} \quad (37)$$

By (36) and the continuity of  $f$ , it clear that for almost every  $x \in (0, +\infty)$

$$\int_0^{+\infty} q(x) (f(u_n(x)) - f(u_0(x)))(u_n(x) - u_0(x)) dx \rightarrow 0, \quad \text{as } n \rightarrow +\infty.$$

Moreover, from  $(F_1)$ , (3) and the boundedness of  $\{u_n\}$  one has

$$\begin{aligned} |q(x) (f(u_n) - f(u_0))(u_n - u_0)| &\leq [2\alpha_2 + \beta|u_n|^{\theta-1} + \beta|u_0|^{\theta-1}] (|u_n| + |u_0|) q(x) \\ &\leq [2\alpha_2 + \beta\mu_\infty^{\theta-1} (C^{\theta-1} + \|u_0\|^{\theta-1})] (\mu_\infty C + \mu_\infty \|u_0\|) q(x) \\ &\leq Cq(x) \in L^1(0, +\infty). \end{aligned}$$

By the dominated convergence theorem we get

$$\int_0^{+\infty} q(x) (f(u_n) - f(u_0))(u_n - u_0) dx \rightarrow 0, \quad \text{as } n \rightarrow +\infty. \quad (38)$$

Taking into account (32) and the fact that  $u_n \rightharpoonup u_0$  in  $H$ , we get

$$\langle I'(u_n) - I'(u_0), u_n - u_0 \rangle \rightarrow 0, \quad \text{as } n \rightarrow +\infty. \quad (39)$$

Combining (38) and (39) with (37), by the same reasoning as in (19), we prove that

$$\begin{aligned} o_n(1) &= \|u_n - u_0\|^2 + b\|u'_n\|_2^2 \int_0^{+\infty} u'_n(u'_n - u'_0) dx - b\|u'_0\|_2^2 \int_0^{+\infty} u'_0(u'_n - u'_0) dx \\ &\geq \|u_n - u_0\|^2 + \frac{b}{2} (\|u'_n\|_2^2 - \|u'_0\|_2^2)^2, \end{aligned} \quad (40)$$

which yields

$$u_n \rightarrow u_0 \text{ strongly in } H,$$

and this proves that  $I_b$  satisfies the (PS) condition at any level  $c \in \mathbb{R}$ .  $\square$

## 4.2. Proof of the main result

In this subsection, we will give the proof of Theorem 4 which is divided into two steps. The first step refers to the existence of solutions of  $(P_b)$ , and the second one to the study of the asymptotic behavior of solutions by considering  $b$  as a parameter.

**Proof of Theorem 4. Step 1.** We have  $I_b \in C^1(H, \mathbb{R})$  and  $I_b(0) = 0$ . By Lemmas 4 and 5, the functional  $I_b$  satisfies the geometric property of the mountain pass theorem. Lemma 6 implies that the functional  $I_b$  satisfies the (PS) condition. Therefore, applying the mountain pass theorem, we deduce that there exists  $v_0 \in H$  such that

$$I_b(v_0) = c \geq \alpha_* > 0 \text{ and } I'_b(v_0) = 0,$$

which means that  $v_0$  is a weak solution of  $(\mathcal{P}_b)$ , and this completes Step 1.

**Step 2.** Let  $\{b_n\} \subset (0, +\infty)$  be a sequence such that

$$b_n \longrightarrow 0, \quad \text{as } n \longrightarrow +\infty, \quad (41)$$

and let  $u_n := u_{b_n} \in H$  be a solution of  $(\mathcal{P}_b)$ . Then

$$(u_n, v) + b_n \|u'_n\|_2^2 \int_0^{+\infty} u'_n v' dx - \int_0^{+\infty} q(x) f(u_n) v dx = 0, \quad \forall v \in H. \quad (42)$$

In the same way as in Step 1 in the proof of Lemma 6, we can prove that  $\{u_n\}$  is bounded in  $H$ , and then there exists  $u_0 \in H$  such that up to a subsequence

$$\begin{aligned} u_n &\rightharpoonup u_0 \text{ weakly in } H, \\ u'_n &\rightharpoonup u'_0 \text{ weakly in } L^2(0, +\infty), \\ u_n(x) &\rightarrow u_0(x) \text{ a.e in } (0, +\infty). \end{aligned} \quad (43)$$

Similarly to (40), we show that

$$u_n \longrightarrow u_0 \text{ in } H. \quad (44)$$

Hence, from  $(F_1)$ , (41), (43), (44), and by the dominated convergence theorem we get

$$(u_n, v) \longrightarrow (u_0, v), \quad b_n \|u'_n\|_2^2 \int_0^{+\infty} u'_n v' dx \longrightarrow 0$$

and

$$\int_0^{+\infty} q(x) f(u_n) v dx \longrightarrow \int_0^{+\infty} q(x) f(u_0) v dx,$$

as  $n \longrightarrow +\infty$ . Consequently, by passing to the limit in (42) as  $n \longrightarrow +\infty$ , we obtain

$$(u_0, v) - \int_0^{+\infty} q(x) f(u_0) v dx = 0, \quad \forall v \in H,$$

which means that  $u_0$  is a weak solution of the problem  $(\mathcal{P}_0)$ , and this completes the proof.  $\square$

### 4.3. Example

Let  $f(u) = u^3 \ln(1 + u^2) + \frac{u^5}{2(1+u^2)}$ ,  $p(x) = \ln(1 + x^2) + 1$  and  $q(x) = e^{-x}$ . It is easy to check that  $(P)$ ,  $(Q)$  and  $(F_1)$ - $(F_4)$  are satisfied.

Then, by Theorem 4, the problem

$$\begin{cases} -(a + b \int_0^{+\infty} |u'| dx) u'' + (\ln(1 + x^2) + 1) u = e^{-x} \left( u^3 \ln(1 + u^2) + \frac{u^5}{2(1 + u^2)} \right), & x \in (0, +\infty); \\ u(0) = 0. \end{cases}$$

has at least one nontrivial solution.

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