

## Research Article

# Connecting Classical and Abstract Theory of Friedrichs Systems via Trace Operator

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Based on recent progress in understanding the abstract setting for Friedrichs symmetric positive systems by Ern et al. (2007), as well as Antić and Burazin (2010), we continue our efforts to relate these results to the classical Friedrichs theory. Following the approach via the trace operator, we extend the results of Antić and Burazin (2011) to situations where the important boundary field does not consist only of projections, allowing the treatment of hyperbolic equations, besides the elliptic ones.

## 1. Introduction

Over fifty years ago Friedrichs [1] showed that many partial differential equations of mathematical physics can be written as a first-order system of the form

$$\mathcal{L}u := \sum_{k=1}^d \partial_k (\mathbf{A}_k u) + \mathbf{C}u = f, \quad (1.1)$$

which was afterwards called *the Friedrichs system* or *the symmetric positive system*.

More precisely, it is assumed (we keep these assumptions throughout the rest of the paper) that  $d, r \in \mathbf{N}$  and that  $\Omega \subseteq \mathbf{R}^d$  is an open and bounded set with Lipschitz boundary  $\Gamma$  (we will denote its closure by  $\text{Cl } \Omega = \Omega \cup \Gamma$ ). Real matrix functions  $\mathbf{A}_k \in W^{1,\infty}(\Omega; M_r(\mathbf{R}))$ ,

$k \in \{1, \dots, d\}$ , and  $\mathbf{C} \in L^\infty(\Omega; M_r(\mathbf{R}))$  satisfy

$$\mathbf{A}_k \text{ is symmetric: } \mathbf{A}_k = \mathbf{A}_k^\top, \quad (\text{F1})$$

$$(\exists \mu_0 > 0) \quad \mathbf{C} + \mathbf{C}^\top + \sum_{k=1}^d \partial_k \mathbf{A}_k \geq 2\mu_0 \mathbf{I} \quad (\text{a.e. on } \Omega), \quad (\text{F2})$$

while  $f \in L^2(\Omega; \mathbf{R}^r)$ .

Quite often, even though a system does not satisfy the above conditions, it can be symmetrised after multiplication by a positive definite matrix function. However, the choice of such a multiplier is neither unique nor straightforward in general. An important advantage of this framework is the fact that it can accommodate the equations which change their type, such as the equations appearing in the mathematical models of transonic gas flow.

For the boundary conditions, Friedrichs [1] first defined

$$\mathbf{A}_\nu := \sum_{k=1}^d \nu_k \mathbf{A}_k, \quad (1.2)$$

where  $\nu = (\nu_1, \nu_2, \dots, \nu_d)^\top$  is the outward unit normal on  $\Gamma$ , which is, as well as  $\mathbf{A}_\nu$ , of class  $L^\infty$  on  $\Gamma$  (of course, Friedrichs considered more regular boundaries at the time). For a given matrix field on the boundary  $\mathbf{M} : \Gamma \rightarrow M_r(\mathbf{R})$ , the boundary condition is prescribed by

$$(\mathbf{A}_\nu - \mathbf{M})u|_\Gamma = 0, \quad (1.3)$$

and by varying  $\mathbf{M}$  one can enforce different boundary conditions. Friedrichs required the following two conditions (for a.e.  $\mathbf{x} \in \Gamma$ ) to hold:

$$(\forall \xi \in \mathbf{R}^r) \quad \mathbf{M}(\mathbf{x})\xi \cdot \xi \geq 0, \quad (\text{FM1})$$

$$\mathbf{R}^r = \ker(\mathbf{A}_\nu(\mathbf{x}) - \mathbf{M}(\mathbf{x})) + \ker(\mathbf{A}_\nu(\mathbf{x}) + \mathbf{M}(\mathbf{x})), \quad (\text{FM2})$$

and such  $\mathbf{M}$  he called *an admissible boundary condition*. In the sequel we will refer to both properties (FM1) and (FM2) as (FM), and similarly in other such situations.

The boundary value problem thus reads the following: for given  $f \in L^2(\Omega; \mathbf{R}^r)$  find  $u$  such that

$$\begin{cases} \mathcal{L}u = f, \\ (\mathbf{A}_\nu - \mathbf{M})u|_\Gamma = 0. \end{cases} \quad (\text{BVP})$$

Of course, under such weak assumptions the existence of a classical solution ( $C^1$  or  $W^{1,\infty}$ ) cannot be expected. It can be shown that, in general, the solution belongs only to the graph space of operator  $\mathcal{L}$ :

$$W = \left\{ u \in L^2(\Omega; \mathbf{R}^r) : \mathcal{L}u \in L^2(\Omega; \mathbf{R}^r) \right\}. \quad (1.4)$$

$W$  is a separable Hilbert space (see, e.g., [2]) with the inner product (the corresponding norm will be denoted by  $\|\cdot\|_{\mathcal{L}}$ )

$$\langle u | v \rangle_{\mathcal{L}} := \langle u | v \rangle_{L^2(\Omega; \mathbf{R}^r)} + \langle \mathcal{L}u | \mathcal{L}v \rangle_{L^2(\Omega; \mathbf{R}^r)}, \quad (1.5)$$

in which the restrictions of functions from  $C_c^\infty(\mathbf{R}^d; \mathbf{R}^r)$  to  $\Omega$  are dense.

However, with such a weak notion of solution in a quite large space, the question arises how to interpret the boundary condition. It is not a priori clear what would be the meaning of restriction  $u|_{\Gamma}$  for functions  $u$  from the graph space. Recently (cf. [2, 3]; for standard results regarding the traces of functions defined in Lipschitz domains we refer to [4]), it has been shown that  $\mathbf{A}_\nu u|_{\Gamma}$  can be interpreted as an element of  $H^{-1/2}(\Gamma; \mathbf{R}^r)$ . Namely, on the graph space we can define operator  $\mathcal{T} : W \rightarrow H^{-1/2}(\Gamma; \mathbf{R}^r)$ , which for  $u, v \in H^1(\Omega; \mathbf{R}^r)$  satisfies

$$\begin{aligned} H^{-1/2}(\Gamma; \mathbf{R}^r) \langle \mathcal{T}u, \mathcal{T}_{H^1}v \rangle_{H^{-1/2}(\Gamma; \mathbf{R}^r)} &= \langle \mathcal{L}u | v \rangle_{L^2(\Omega; \mathbf{R}^r)} - \langle u | \tilde{\mathcal{L}}v \rangle_{L^2(\Omega; \mathbf{R}^r)} \\ &= \int_{\Gamma} \mathbf{A}_\nu(\mathbf{x}) \mathcal{T}_{H^1}u(\mathbf{x}) \cdot \mathcal{T}_{H^1}v(\mathbf{x}) dS(\mathbf{x}), \end{aligned} \quad (1.6)$$

where  $\mathcal{T}_{H^1}$  stands for the trace operator  $\mathcal{T}_{H^1} : H^1(\Omega; \mathbf{R}^r) \rightarrow H^{1/2}(\Gamma; \mathbf{R}^r)$ , and  $\tilde{\mathcal{L}} : L^2(\Omega; \mathbf{R}^r) \rightarrow \mathfrak{D}'(\Omega; \mathbf{R}^r)$ , the formally adjoint operator to  $\mathcal{L}$ , is defined by

$$\tilde{\mathcal{L}}v := -\sum_{k=1}^d \partial_k (\mathbf{A}_k^\top v) + \left( \mathbf{C}^\top + \sum_{k=1}^d \partial_k \mathbf{A}_k^\top \right) v. \quad (1.7)$$

In general,  $\mathcal{T}$  is not an operator onto  $H^{-1/2}(\Gamma; \mathbf{R}^r)$  but still has a right inverse (the lifting operator)  $\mathcal{E} : \text{im } \mathcal{T} \rightarrow W_0^\perp < W$ , which satisfies

$$\mathcal{T}\mathcal{E}g = g, \quad g \in \text{im } \mathcal{T}. \quad (1.8)$$

Here,  $W_0$  denotes the closure of  $C_c^\infty(\Omega; \mathbf{R}^r)$  in  $W$ , while  $W_0^\perp$  denotes its orthogonal complement in  $W$ . As  $\text{im } \mathcal{T}$  is not necessarily closed in  $H^{-1/2}(\Gamma; \mathbf{R}^r)$ , neither  $\mathcal{E}$  is necessarily continuous.

Using this trace operator, the appropriate well-posedness results for the weak formulation of (BVP), under additional assumptions, have been proven [3, 5].

More recently, the Friedrichs theory has been rewritten in an abstract setting by Ern and Guermond [6] and Ern et al. [7], in terms of operators acting on Hilbert spaces, such that the traces on the boundary have not been explicitly used. Instead, the trace operator has been replaced by the *boundary operator*  $D \in \mathcal{L}(W; W')$  defined, for  $u, v \in W$ , by

$${}_{W'} \langle Du, v \rangle_W := \langle \mathcal{L}u | v \rangle_{L^2(\Omega; \mathbf{R}^r)} - \langle u | \tilde{\mathcal{L}}v \rangle_{L^2(\Omega; \mathbf{R}^r)}. \quad (1.9)$$

The boundary operator  $D$  can also be expressed [2, 7] via matrix function  $\mathbf{A}_\nu$ :

$$\left( \forall u, v \in H^1(\Omega; \mathbf{R}^r) \right) \quad {}_{W'} \langle Du, v \rangle_W = \int_{\Gamma} \mathbf{A}_\nu(\mathbf{x}) \mathcal{T}_{H^1}u(\mathbf{x}) \cdot \mathcal{T}_{H^1}v(\mathbf{x}) dS(\mathbf{x}). \quad (1.10)$$

In the light of expressions (1.10) and (1.6), it is clear that  $\mathcal{T}$  and  $D$  are somehow *connected*. However,  $\mathcal{T}$  maps into  $H^{-1/2}(\Gamma; \mathbf{R}^r)$ , while the codomain of  $D$  is  $W'$ , and it appears that  $D$  has *better properties* than the trace operator. Namely, using the operator  $D$  instead of  $\mathcal{T}$  in [7], the following *weak well-posedness result* has been shown.

**Theorem 1.1.** *Assume that there exists an operator  $M \in \mathcal{L}(W; W')$  satisfying*

$$(\forall u \in W) \quad {}_{W'}\langle Mu, u \rangle_W \geq 0, \quad (\text{M1})$$

$$W = \ker(D - M) + \ker(D + M). \quad (\text{M2})$$

Then, the restricted operators

$$\mathcal{L}_{|\ker(D-M)} : \ker(D - M) \longrightarrow L^2(\Omega; \mathbf{R}^r), \quad \tilde{\mathcal{L}}_{|\ker(D+M^*)} : \ker(D + M^*) \longrightarrow L^2(\Omega; \mathbf{R}^r) \quad (1.11)$$

are isomorphisms.

The operator  $M$  from the theorem is also called the boundary operator, as  $\ker M = \ker D = W_0$ .

After rewriting the abstract theory of Ern et al. [7] in terms of Kreĭn spaces [2, 8, 9] and closing the questions they left open, in papers [10, 11] we investigated the precise relationship between the classical Friedrichs theory and its abstract counterpart and applied the new results on some examples.

To be specific, as the analogy between the properties (M) for operator  $M$  and the conditions (FM) for matrix boundary condition  $\mathbf{M}$  is apparent, a natural question to be investigated is the nature of the relationship between the matrix field  $\mathbf{M}$  and the boundary operator  $M$ . More precisely, our goal was to find additional conditions on the matrix field  $\mathbf{M}$  with properties (FM) which will guarantee the existence of a *suitable operator*  $M \in \mathcal{L}(W; W')$ , with properties (M).

For a given matrix field  $\mathbf{M}$ , which  $M$  will be a *suitable operator*? The condition is satisfied by such an operator  $M$  that the result of Theorem 1.1 really presents the *weak well-posedness result* for (BVP) in the following sense: if, for given  $f \in L^2(\Omega; \mathbf{R}^r)$ ,  $u \in \ker(D - M)$  is such that  $\mathcal{L}u = f$ , where we additionally have  $u \in C^1(\Omega; \mathbf{R}^r) \cap C(\text{Cl } \Omega; \mathbf{R}^r)$ , then  $u$  satisfies (BVP) in the classical sense.

With such a connection between  $\mathbf{M}$  and the boundary operator  $M$ , applications of the abstract theory to some equations of particular interest will become easier, as calculations with matrices are simpler than those with operators. We also take it as a first step towards better understanding of the relation between the existence and uniqueness results for the Friedrichs systems as in [7, 8] and the earlier *classical* results [1, 3, 5].

In [10] we have established this connection between  $\mathbf{M}$  and  $M$  using two different approaches: via boundary operator  $D$  and via the trace operator  $\mathcal{T}$ . Based on (1.10) and (1.6), in both these approaches we look for  $M$  of the form (see [6])

$$\left( \forall u, v \in H^1(\Omega; \mathbf{R}^r) \right) \quad {}_{W'}\langle Mu, v \rangle_W = \int_{\Gamma} \mathbf{M}(\mathbf{x}) \mathcal{T}_{H^1} u(\mathbf{x}) \cdot \mathcal{T}_{H^1} v(\mathbf{x}) dS(\mathbf{x}), \quad (1.12)$$

where we naturally assume that  $\mathbf{M}$  is bounded, that is,  $\mathbf{M} \in L^\infty(\Gamma; M_r(\mathbf{R}))$ , and both the approaches make use of the following lemma.

**Lemma 1.2.** *Let matrix function  $\mathbf{M}$  satisfy (FM1). Then, the following statements are equivalent.*

- (a)  $\mathbf{M}$  satisfies (FM2).
- (b) For almost every  $\mathbf{x} \in \Gamma$ , there is a projector  $\mathbf{S}(\mathbf{x})$ , such that  $\mathbf{M}(\mathbf{x}) = (\mathbf{I} - 2\mathbf{S}^\top(\mathbf{x}))\mathbf{A}_\nu(\mathbf{x})$ .
- (c) For almost every  $\mathbf{x} \in \Gamma$ , there is a projector  $\mathbf{P}(\mathbf{x})$ , such that  $\mathbf{M}(\mathbf{x}) = \mathbf{A}_\nu(\mathbf{x})(\mathbf{I} - 2\mathbf{P}(\mathbf{x}))$ .

As properties (FM) do not guarantee that the preceding formula defines a continuous operator  $M : W \rightarrow W'$  satisfying (M), we have found [10] two different sets of additional conditions under which the desired properties are satisfied. The conditions that we got by using the trace operator are given in the next theorem.

**Theorem 1.3.** *Assume that the matrix field  $\mathbf{M} \in L^\infty(\Gamma; M_r(\mathbf{R}))$  satisfies (FM) and that by (1.12) an operator  $M \in \mathcal{L}(W; W')$  is defined. Then, (M1) holds.*

*Let the matrix function  $\mathbf{S}$  from Lemma 1.2 additionally satisfy  $\mathbf{S} \in C^{0,1/2}(\Gamma; M_r(\mathbf{R}))$ . If by  $\mathcal{S} \in \mathcal{L}(H^{1/2}(\Gamma; \mathbf{R}^r))$  one denotes the multiplication operator*

$$\mathcal{S}(z) := \mathbf{S}z, \quad z \in H^{1/2}(\Gamma; \mathbf{R}^r), \quad (1.13)$$

by  $\mathcal{S}^* \in \mathcal{L}(H^{-1/2}(\Gamma; \mathbf{R}^r))$  its adjoint operator defined by

$${}_{H^{-1/2}(\Gamma; \mathbf{R}^r)}\langle \mathcal{S}^*T, z \rangle_{H^{1/2}(\Gamma; \mathbf{R}^r)} := {}_{H^{-1/2}(\Gamma; \mathbf{R}^r)}\langle T, \mathcal{S}z \rangle_{H^{1/2}(\Gamma; \mathbf{R}^r)}, \quad T \in H^{-1/2}(\Gamma; \mathbf{R}^r), \quad z \in H^{1/2}(\Gamma; \mathbf{R}^r), \quad (1.14)$$

and by  $\mathcal{T} : W \rightarrow H^{-1/2}(\Gamma; \mathbf{R}^r)$  the trace operator, then the condition  $\mathcal{S}^*(\text{im } \mathcal{T}) \subseteq \text{im } \mathcal{T}$  implies (M2).

The representation of  $\mathbf{M}$  as a product of  $\mathbf{A}_\nu$  by some matrix field  $\mathbf{I} - 2\mathbf{S}^\top$  is the essential ingredient in the proof of Theorem 1.3. However, in [11] we have noted that the requirement for  $\mathbf{S}$  to be a projector appears overly restrictive in applications (this seems to be particularly true for hyperbolic equations), which motivated further investigation of possible improvements of Lemma 1.2. As a result we have realised that  $\mathbf{S}$  needs to be a projector only at points where  $\mathbf{A}_\nu$  is a regular matrix. Our goal here is to verify whether Theorem 1.3 (or some variant of it) holds in case when  $\mathbf{S}$  is not a projector.

The paper is organised as follows. In Section 2 we propose an extension of the method from [10], the main result being Theorem 2.5. On the part of the boundary where  $\mathbf{A}_\nu$  is singular, the matrix  $\mathbf{S}$  appearing in Lemma 1.2(b) need not necessarily be a projector. This allows the treatment of hyperbolic equations, which is illustrated by an example in Section 3, where we also provide two sufficient conditions ensuring the assumptions of Theorem 2.5. Finally, in Section 4 we investigate whether we can get better results by using  $\mathbf{P}$  instead of  $\mathbf{S}$ .

## 2. Approach via Trace Operator When $\mathbf{S}$ Is Not a Projector

**Lemma 2.1.** *Let matrix function  $\mathbf{M} \in L^\infty(\Gamma; M_r(\mathbf{R}))$  satisfy (FM1). Then the following statements are equivalent:*

- (a)  $\mathbf{M}$  satisfies (FM2).
- (b) For almost every  $\mathbf{x} \in \Gamma$ , there is a matrix  $\mathbf{S}(\mathbf{x})$ , such that  $\mathbf{M}(\mathbf{x}) = (\mathbf{I} - 2\mathbf{S}^\top(\mathbf{x}))\mathbf{A}_\nu(\mathbf{x})$  and

$$\ker(\mathbf{A}_\nu(\mathbf{x})\mathbf{S}(\mathbf{x})) + \ker(\mathbf{A}_\nu(\mathbf{x})(\mathbf{I} - \mathbf{S}(\mathbf{x}))) = \mathbf{R}^r. \quad (2.1)$$

(c) For almost every  $\mathbf{x} \in \Gamma$ , there is a matrix  $\mathbf{P}(\mathbf{x})$ , such that  $\mathbf{M}(\mathbf{x}) = \mathbf{A}_\nu(\mathbf{x})(\mathbf{I} - 2\mathbf{P}(\mathbf{x}))$  and

$$\ker(\mathbf{A}_\nu(\mathbf{x})\mathbf{P}(\mathbf{x})) + \ker(\mathbf{A}_\nu(\mathbf{x})(\mathbf{I} - \mathbf{P}(\mathbf{x}))) = \mathbf{R}^r. \quad (2.2)$$

*Proof.* As for  $\mathbf{M}$  from (c) we have  $\mathbf{A}_\nu - \mathbf{M} = 2\mathbf{A}_\nu\mathbf{P}$  and  $\mathbf{A}_\nu + \mathbf{M} = 2\mathbf{A}_\nu(\mathbf{I} - \mathbf{P})$ ; thus (FM2) holds. Note also that by Lemma 1.2, the part (a) implies (c).

In order to prove that (b) is equivalent to (a) and (c), we use the well-known fact [1, 12] that  $\mathbf{M}$  satisfies (FM) if and only if  $\mathbf{M}^\top$  satisfies (FM). By (c) this is equivalent to the existence of  $\mathbf{S}$  such that  $\mathbf{M}^\top = \mathbf{A}_\nu(\mathbf{I} - 2\mathbf{S})$  and  $\ker(\mathbf{A}_\nu\mathbf{S}) + \ker(\mathbf{A}_\nu(\mathbf{I} - \mathbf{S})) = \mathbf{R}^r$  a.e. on  $\Gamma$ , which is actually equivalent to (b).  $\square$

*Remark 2.2.* Note that  $\mathbf{P}$  and  $\mathbf{S}$  from the previous lemma also satisfy

$$\begin{aligned} \ker(\mathbf{S}^\top \mathbf{A}_\nu) + \ker((\mathbf{I} - \mathbf{S}^\top) \mathbf{A}_\nu) &= \mathbf{R}^r \quad \text{a.e. on } \Gamma, \\ \ker(\mathbf{P}^\top \mathbf{A}_\nu) + \ker((\mathbf{I} - \mathbf{P}^\top) \mathbf{A}_\nu) &= \mathbf{R}^r \quad \text{a.e. on } \Gamma. \end{aligned} \quad (2.3)$$

This is a consequence of the already-mentioned statement that  $\mathbf{M}$  satisfies (FM) if and only if  $\mathbf{M}^\top$  satisfies (FM). It is also obvious that  $\mathbf{S}^\top \mathbf{A}_\nu = \mathbf{A}_\nu \mathbf{P}$  a.e. on  $\Gamma$ .

*Remark 2.3.* The result of Lemma 2.1 improves that of Lemma 1.2. We distinguish two situations that can occur at a fixed point  $\mathbf{x} \in \Gamma$  (which we suppress in writing below).

If  $\mathbf{A}_\nu$  is a regular matrix, then (for  $\mathbf{P}$  as in Lemma 2.1)  $\ker(\mathbf{A}_\nu\mathbf{P}) = \ker \mathbf{P}$  and  $\ker(\mathbf{A}_\nu(\mathbf{I} - \mathbf{P})) = \ker(\mathbf{I} - \mathbf{P})$ , and therefore  $\ker(\mathbf{A}_\nu\mathbf{P}) + \ker(\mathbf{A}_\nu(\mathbf{I} - \mathbf{P})) = \mathbf{R}^r$  is equivalent to  $\ker \mathbf{P} + \ker(\mathbf{I} - \mathbf{P}) = \mathbf{R}^r$ , which is equivalent to the statement that  $\mathbf{P}$  is a projector.

If  $\mathbf{A}_\nu$  is not regular, then there can be several matrices  $\mathbf{P}$ , which are not projectors but nevertheless satisfy  $\ker(\mathbf{A}_\nu\mathbf{P}) + \ker(\mathbf{A}_\nu(\mathbf{I} - \mathbf{P})) = \mathbf{R}^r$ . For example, any matrix  $\mathbf{P}$ , such that  $\text{im } \mathbf{P} \subseteq \ker \mathbf{A}_\nu$  or  $\text{im}(\mathbf{I} - \mathbf{P}) \subseteq \ker \mathbf{A}_\nu$ , would satisfy  $\ker(\mathbf{A}_\nu\mathbf{P}) + \ker(\mathbf{A}_\nu(\mathbf{I} - \mathbf{P})) = \mathbf{R}^r$ , as for such  $\mathbf{P}$  either  $\ker(\mathbf{A}_\nu\mathbf{P}) = \mathbf{R}^r$  or  $\ker(\mathbf{A}_\nu(\mathbf{I} - \mathbf{P})) = \mathbf{R}^r$ .

The similar statements hold for  $\mathbf{S}$ .

A variant of the following lemma has been proved in [11].

**Lemma 2.4.** *If  $\mathbf{M}$  satisfies (FM), then for  $\mathbf{P}$  and  $\mathbf{S}$  as in Lemma 2.1 one has*

$$\mathbf{A}_\nu\mathbf{P}(\mathbf{I} - \mathbf{P}) = \mathbf{A}_\nu(\mathbf{I} - \mathbf{P})\mathbf{P} = \mathbf{A}_\nu\mathbf{S}(\mathbf{I} - \mathbf{S}) = \mathbf{A}_\nu(\mathbf{I} - \mathbf{S})\mathbf{S} = \mathbf{0} \quad \text{a.e. on } \Gamma. \quad (2.4)$$

*Proof.* Any  $w \in \mathbf{R}^r$  can be decomposed as  $w = \xi + \eta$  such that  $\xi \in \ker(\mathbf{A}_\nu\mathbf{P})$  and  $\eta \in \ker(\mathbf{A}_\nu(\mathbf{I} - \mathbf{P}))$ . Now using  $\mathbf{A}_\nu\mathbf{P} = \mathbf{S}^\top \mathbf{A}_\nu$  we easily get

$$\begin{aligned} \mathbf{A}_\nu\mathbf{P}(\mathbf{I} - \mathbf{P})w &= \mathbf{A}_\nu\mathbf{P}(\mathbf{I} - \mathbf{P})\xi + \mathbf{A}_\nu\mathbf{P}(\mathbf{I} - \mathbf{P})\eta \\ &= \mathbf{A}_\nu\mathbf{P}\xi - \mathbf{S}^\top \mathbf{A}_\nu\mathbf{P}\xi + \mathbf{S}^\top \mathbf{A}_\nu(\mathbf{I} - \mathbf{P})\eta = 0, \end{aligned} \quad (2.5)$$

which concludes the proof for  $\mathbf{P}$ , while for  $\mathbf{S}$  one can argue analogously.  $\square$

Next we prove a new version of Theorem 1.3, with  $\mathbf{S}$  not necessarily being a projector.

**Theorem 2.5.** *Assume that the matrix field  $\mathbf{M} \in L^\infty(\Gamma; M_r(\mathbf{R}))$  satisfies (FM) and that by (1.12) an operator  $M \in \mathcal{L}(W; W')$  is defined. Then (M1) holds.*

*Let the matrix function  $\mathbf{S}$  from Lemma 2.1 additionally satisfy  $\mathbf{S} \in C^{0,1/2}(\Gamma; M_r(\mathbf{R}))$  such that the multiplication operator  $\mathcal{S}$  defined by (1.13) belongs to  $\mathcal{L}(H^{1/2}(\Gamma; \mathbf{R}^r))$ . If one denotes by  $\mathcal{S}^* \in \mathcal{L}(H^{-1/2}(\Gamma; \mathbf{R}^r))$  the adjoint operator of  $\mathcal{S}$  and by  $\mathcal{T} : W \rightarrow H^{-1/2}(\Gamma; \mathbf{R}^r)$  the trace operator on the graph space, then the condition  $\mathcal{S}^*(\text{im } \mathcal{T}) \subseteq \text{im } \mathcal{T}$  implies (M2).*

*Proof.* It only remains to show (M2). First we prove

$$\mathcal{S}^*(\mathcal{O}_{H^{-1/2}} - \mathcal{S}^*)\mathcal{T} = (\mathcal{O}_{H^{-1/2}} - \mathcal{S}^*)\mathcal{S}^*\mathcal{T} = 0, \quad (2.6)$$

where  $\mathcal{O}_{H^{-1/2}}$  is the identity on  $H^{-1/2}(\Gamma; \mathbf{R}^r)$ . By using Lemma 2.4, for  $u \in H^1(\Omega; \mathbf{R}^r)$  and  $z \in H^{1/2}(\Gamma; \mathbf{R}^r)$  we have

$$\begin{aligned} & H^{-1/2}(\Gamma; \mathbf{R}^r) \langle \mathcal{S}^*(\mathcal{O}_{H^{-1/2}} - \mathcal{S}^*)\mathcal{T}u, z \rangle_{H^{1/2}(\Gamma; \mathbf{R}^r)} \\ &= H^{-1/2}(\Gamma; \mathbf{R}^r) \langle \mathcal{T}u, (\mathcal{O}_{H^{1/2}} - \mathcal{S})\mathcal{S}z \rangle_{H^{1/2}(\Gamma; \mathbf{R}^r)} \\ &= \int_{\Gamma} \mathbf{A}_v(\mathbf{x}) \mathcal{T}_{H^1} u(\mathbf{x}) \cdot (\mathbf{I} - \mathbf{S}(\mathbf{x})) \mathbf{S}(\mathbf{x}) z(\mathbf{x}) dS(\mathbf{x}) \\ &= \int_{\Gamma} \mathbf{S}^\top(\mathbf{x}) (\mathbf{I} - \mathbf{S}^\top(\mathbf{x})) \mathbf{A}_v(\mathbf{x}) \mathcal{T}_{H^1} u(\mathbf{x}) \cdot z(\mathbf{x}) dS(\mathbf{x}) \\ &= \int_{\Gamma} (\mathbf{A}_v(\mathbf{x}) (\mathbf{I} - \mathbf{S}(\mathbf{x})) \mathbf{S}(\mathbf{x}))^\top \mathcal{T}_{H^1} u(\mathbf{x}) \cdot z(\mathbf{x}) dS(\mathbf{x}) \\ &= 0, \end{aligned} \quad (2.7)$$

where  $\mathcal{O}_{H^{1/2}} : H^{1/2}(\Gamma; \mathbf{R}^r) \rightarrow H^{1/2}(\Gamma; \mathbf{R}^r)$  is the identity. Therefore,  $\mathcal{S}^*(\mathcal{O}_{H^{-1/2}} - \mathcal{S}^*)\mathcal{T}u = 0$  for every  $u \in H^1(\Omega; \mathbf{R}^r)$ , and, since  $H^1(\Omega; \mathbf{R}^r)$  is dense in  $W$ , we have (2.6).

Just as in the proof of [10, Theorem 2], we will use the representations of operators  $D$  and  $M$  through the trace operator  $\mathcal{T}$ ; for  $u \in W$  and  $v \in H^1(\Omega; \mathbf{R}^r)$ , we have

$$\begin{aligned} W' \langle Du, v \rangle_W &= H^{-1/2}(\Gamma; \mathbf{R}^r) \langle \mathcal{T}u, \mathcal{T}_{H^1} v \rangle_{H^{1/2}(\Gamma; \mathbf{R}^r)}, \\ W' \langle Mu, v \rangle_W &= H^{-1/2}(\Gamma; \mathbf{R}^r) \langle (\mathcal{O}_{H^{-1/2}} - 2\mathcal{S}^*)\mathcal{T}u, \mathcal{T}_{H^1} v \rangle_{H^{1/2}(\Gamma; \mathbf{R}^r)}. \end{aligned} \quad (2.8)$$

By assumption  $\mathcal{S}^*(\text{im } \mathcal{T}) \subseteq \text{im } \mathcal{T}$ , for given  $w \in W$ , we can define

$$u := w - \mathcal{E}\mathcal{S}^*\mathcal{T}w, \quad v := \mathcal{E}\mathcal{S}^*\mathcal{T}w, \quad (2.9)$$

where  $\mathcal{E} : \text{im } \mathcal{T} \rightarrow W$  is the right inverse of the operator  $\mathcal{T}$ , as before. Obviously, the decomposition  $w = u + v$  is valid.

Let us show that  $u \in \ker(D - M)$ : for  $s \in H^1(\Omega; \mathbf{R}^r)$  by (2.6) and (2.8) we get

$$\begin{aligned} W' \langle (D - M)u, s \rangle_W &= {}_{H^{-1/2}(\Gamma; \mathbf{R}^r)} \langle 2\mathcal{S}^* \mathcal{T}u, \mathcal{T}_{H^1} s \rangle_{H^{1/2}(\Gamma; \mathbf{R}^r)} \\ &= {}_{H^{-1/2}(\Gamma; \mathbf{R}^r)} \langle 2\mathcal{S}^* \mathcal{T}(w - \mathcal{E}\mathcal{S}^* \mathcal{T}w), \mathcal{T}_{H^1} s \rangle_{H^{1/2}(\Gamma; \mathbf{R}^r)} \\ &= {}_{H^{-1/2}(\Gamma; \mathbf{R}^r)} \langle 2\mathcal{S}^* (\mathcal{O}_{H^{-1/2}} - \mathcal{S}^*) \mathcal{T}w, \mathcal{T}_{H^1} s \rangle_{H^{1/2}(\Gamma; \mathbf{R}^r)} = 0, \end{aligned} \quad (2.10)$$

thus  $(D - M)u = 0$ , as  $H^1(\Omega; \mathbf{R}^r)$  is dense in  $W$ .

It remains to show that  $v \in \ker(D + M)$ . For  $s \in H^1(\Omega; \mathbf{R}^r)$ , similarly as above, it follows that

$$\begin{aligned} W' \langle (D + M)v, s \rangle_W &= {}_{H^{-1/2}(\Gamma; \mathbf{R}^r)} \langle 2(\mathcal{O}_{H^{-1/2}} - \mathcal{S}^*) \mathcal{T}v, \mathcal{T}_{H^1} s \rangle_{H^{1/2}(\Gamma; \mathbf{R}^r)} \\ &= {}_{H^{-1/2}(\Gamma; \mathbf{R}^r)} \langle 2(\mathcal{O}_{H^{-1/2}} - \mathcal{S}^*) \mathcal{T} \mathcal{E} \mathcal{S}^* \mathcal{T}w, \mathcal{T}_{H^1} s \rangle_{H^{1/2}(\Gamma; \mathbf{R}^r)} \\ &= {}_{H^{-1/2}(\Gamma; \mathbf{R}^r)} \langle 2(\mathcal{O}_{H^{-1/2}} - \mathcal{S}^*) \mathcal{S}^* \mathcal{T}w, \mathcal{T}_{H^1} s \rangle_{H^{1/2}(\Gamma; \mathbf{R}^r)} = 0, \end{aligned} \quad (2.11)$$

thus  $(D + M)v = 0$  and we have the claim.  $\square$

Theorem 2.5 provides us with sufficient conditions for continuous operator  $M : W \rightarrow W'$ , defined by (1.12), to satisfy (M). A natural question arises whether these conditions are *feasible*. The condition  $\mathbf{S} \in C^{0,1/2}(\Gamma; M_r(\mathbf{R}))$  does not appear particularly restrictive, as it is expected that the continuity of  $M$  requires even higher regularity of  $\mathbf{S}$  (see [10]). However, the other condition, requiring that the image of the trace operator is invariant under  $\mathcal{S}^*$  appears somewhat *artificial* and *unnatural*. This is particularly true because in all examples to which we have applied the theory of Friedrichs systems [10] this condition is satisfied. At this point we still do not know whether it is always fulfilled.

### 3. On Feasibility of Assumptions

The following example illustrates the applicability of Theorem 2.5 for hyperbolic equations, in a simple situation.

*Example 3.1.* The wave equation  $u_{tt} - \gamma^2 u_{xx} = f$  can be written as the following symmetric system for  $u = (u, u_t + \gamma u_x)$ :

$$\partial_t \left( \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} u \right) + \partial_x \left( \begin{bmatrix} \gamma & 0 \\ 0 & -\gamma \end{bmatrix} u \right) + \begin{bmatrix} 0 & -1 \\ 0 & 0 \end{bmatrix} u = \begin{bmatrix} 0 \\ f \end{bmatrix}. \quad (3.1)$$

After introducing a new unknown  $v := e^{-\lambda t} u$ , we obtain a positive symmetric system (for  $\lambda > 0$  large enough)

$$\partial_t \left( \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} v \right) + \partial_x \left( \begin{bmatrix} \gamma & 0 \\ 0 & -\gamma \end{bmatrix} v \right) + \begin{bmatrix} \lambda & -1 \\ 0 & \lambda \end{bmatrix} v = \begin{bmatrix} 0 \\ e^{-\lambda t} f \end{bmatrix}. \quad (3.2)$$



As

$$\mathbf{A}_v = \begin{bmatrix} v_1 + \gamma v_2 & 0 \\ 0 & v_1 - \gamma v_2 \end{bmatrix}, \quad (3.3)$$

in order to make calculations simpler, we take domain  $\Omega \subseteq \mathbf{R}^2$  to be a parallelogram with sides laying on the characteristic lines  $x \pm \gamma t = \pm 1$  of the original wave equation, as presented in Figure 1. The straight parts of the boundary (open segments) are denoted by  $\Gamma_1, \dots, \Gamma_4$ .

Let us take a matrix function  $\mathbf{S} \in C^{0,1/2}(\Gamma; M_2(\mathbf{R}))$  with the entries

$$\mathbf{S} = \begin{bmatrix} a & b \\ c & d \end{bmatrix}, \quad (3.4)$$

and consider  $\mathbf{M} = (\mathbf{I} - 2\mathbf{S}^\top)\mathbf{A}_v$ . Depending on the particular part of the boundary, matrix function  $\mathbf{M}$  satisfies (FM) if and only if

$$\begin{aligned} \text{on } \Gamma_1 : & \quad c = 0, & \quad d = 0, \\ \text{on } \Gamma_2 : & \quad c = 0, & \quad d = 1, \\ \text{on } \Gamma_3 : & \quad a = 0, & \quad b = 0, \\ \text{on } \Gamma_4 : & \quad a = 1, & \quad b = 0. \end{aligned} \quad (3.5)$$

A straightforward calculation gives us the formula for  $\mathcal{T}$  on  $H^1(\Omega; \mathbf{R}^2)$ :

$$\mathcal{T}(u, w) = \begin{cases} (0, \mathcal{T}_{H^1} w), & \text{on } \Gamma_1, \\ (0, -\mathcal{T}_{H^1} w), & \text{on } \Gamma_2, \\ (\mathcal{T}_{H^1} u, 0), & \text{on } \Gamma_3, \\ (-\mathcal{T}_{H^1} u, 0), & \text{on } \Gamma_4. \end{cases} \quad (3.6)$$

Multiplying with possible values of  $\mathbf{S}^\top$  given above, for any  $(u, w) \in H^1(\Omega; \mathbf{R}^2)$  we have

$$\mathcal{S}^* \mathcal{T}(u, w) = \begin{cases} 0, & \text{on } \Gamma_1, \\ \mathcal{T}(u, w), & \text{on } \Gamma_2, \\ 0, & \text{on } \Gamma_3, \\ \mathcal{T}(u, w), & \text{on } \Gamma_4, \end{cases} \quad (3.7)$$

and one can easily check that this equals  $\mathcal{T}(\tilde{u}, \tilde{w})$  with  $\tilde{u} = (1 - x - \gamma t)u/2$  and  $\tilde{w} = (1 + x - \gamma t)w/2$ . By the density of  $H^1(\Omega; \mathbf{R}^2)$  in  $W$ , the continuity of  $\mathcal{S}^* \in \mathcal{L}(H^{-1/2}(\Gamma; \mathbf{R}^2))$  and the fact that  $\mathcal{T} \in \mathcal{L}(W; H^{-1/2}(\Gamma; \mathbf{R}^2))$ , as well as the continuity of linear mapping  $(u, v) \mapsto (\tilde{u}, \tilde{w})$  from  $W$  to  $W$ , we infer that the equality  $\mathcal{S}^* \mathcal{T}(u, w) = \mathcal{T}(\tilde{u}, \tilde{w})$  is valid for  $(u, w) \in W$ . Therefore, by this construction, we obtained the inclusion  $\mathcal{S}^*(\text{im } \mathcal{T}) \subseteq \text{im } \mathcal{T}$ .

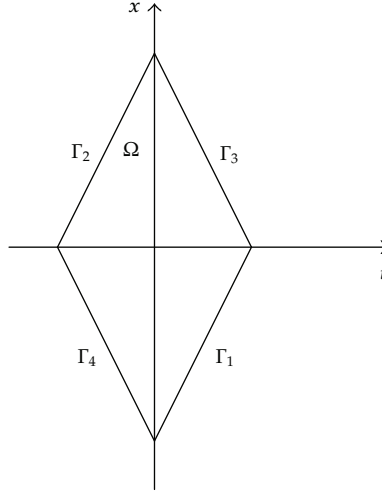


Figure 1: An example of the domain  $\Omega$ ; the wave equation.

The corresponding boundary operator  $M : W \rightarrow W'$  is continuous, so we can apply Theorem 2.5. It is simple to interpret the boundary conditions: they are not imposed on the part  $\Gamma_1 \cup \Gamma_3$  of the boundary (as  $\mathbf{A}_\nu - \mathbf{M} = \mathbf{0}$  there), while the boundary condition on  $\Gamma_2$  is  $w = 0$  and on  $\Gamma_4$  we have  $u = 0$  (as  $\mathbf{A}_\nu - \mathbf{M} = \mathbf{A}_\nu$  on these parts of the boundary).

The arguments used in this example are particularly simple due to the specific form of boundary  $\Gamma$ . Let us consider a more complicated pentagonal domain: cut the set  $\Omega$  by a horizontal line and introduce a new horizontal part  $\Gamma_5$  of the boundary (on the top of  $\Omega$ ). A similar calculation leads us to the following relations that should be satisfied on  $\Gamma_5$ :

$$a \leq \frac{1}{2}, \quad d \geq \frac{1}{2}, \quad a + d = 1, \quad ad = bc, \quad (b - c)^2 \leq (1 - 2a)(2d - 1), \quad (3.8)$$

and to the following equalities on  $\Gamma_5$  (valid for  $(u, w) \in H^1(\Omega; \mathbf{R}^2)$ ),

$$\begin{aligned} \mathcal{T}(u, w) &= (\mathcal{T}_{H^1} u, -\mathcal{T}_{H^1} w), \\ \mathcal{S}^* \mathcal{T}(u, w) &= (a \mathcal{T}_{H^1} u - c \mathcal{T}_{H^1} w, b \mathcal{T}_{H^1} u - d \mathcal{T}_{H^1} w). \end{aligned} \quad (3.9)$$

However, the inclusion  $\mathcal{S}^*(\text{im } \mathcal{T}) \subseteq \text{im } \mathcal{T}$  is no longer obvious, as functions  $a, b, c$ , and  $d$  can be chosen quite arbitrarily.

Next we present some sufficient conditions which can be used to show that  $\mathcal{S}^*(\text{im } \mathcal{T}) \subseteq \text{im } \mathcal{T}$ .

**Theorem 3.2.** *Let  $\mathbf{S}, \mathbf{P} \in C^{0,1/2}(\Gamma; M_r(\mathbf{R}))$  be matrix functions and  $\mathcal{S}, \mathcal{D} \in \mathcal{L}(H^{1/2}(\Gamma; \mathbf{R}^r))$  corresponding multiplication operators defined as in (1.13), and let  $\mathbf{S}^\top \mathbf{A}_\nu = \mathbf{A}_\nu \mathbf{P}$  a.e. on  $\Gamma$ . Then  $\mathcal{T}(H^1(\Omega; \mathbf{R}^r))$  is invariant under  $\mathcal{S}^*$ . If one additionally assumes that  $\text{im } \mathcal{T}$  is closed in  $H^{-1/2}(\Gamma; \mathbf{R}^r)$ , then one also has  $\mathcal{S}^*(\text{im } \mathcal{T}) \subseteq \text{im } \mathcal{T}$ .*

*Proof.* For  $u \in H^1(\Omega; \mathbf{R}^r)$  and  $z \in H^{1/2}(\Gamma; \mathbf{R}^r)$ , we have

$$\begin{aligned}
& {}_{H^{-1/2}(\Gamma; \mathbf{R}^r)} \langle \mathcal{S}^* \mathcal{T}u, z \rangle_{H^{1/2}(\Gamma; \mathbf{R}^r)} \\
&= {}_{H^{-1/2}(\Gamma; \mathbf{R}^r)} \langle \mathcal{T}u, \mathcal{S}z \rangle_{H^{1/2}(\Gamma; \mathbf{R}^r)} \\
&= \int_{\Gamma} \mathbf{A}_v(\mathbf{x}) \mathcal{T}_{H^1} u(\mathbf{x}) \cdot \mathbf{S}(\mathbf{x}) z(\mathbf{x}) dS(\mathbf{x}) \\
&= \int_{\Gamma} \mathbf{S}^\top(\mathbf{x}) \mathbf{A}_v(\mathbf{x}) \mathcal{T}_{H^1} u(\mathbf{x}) \cdot z(\mathbf{x}) dS(\mathbf{x}) \tag{3.10} \\
&= \int_{\Gamma} \mathbf{A}_v(\mathbf{x}) \mathbf{P}(\mathbf{x}) \mathcal{T}_{H^1} u(\mathbf{x}) \cdot z(\mathbf{x}) dS(\mathbf{x}) \\
&= \int_{\Gamma} \mathbf{A}_v(\mathbf{x}) \mathcal{T}_{H^1} \mathcal{E}_{H^1} \mathbf{P}(\mathbf{x}) \mathcal{T}_{H^1} u(\mathbf{x}) \cdot z(\mathbf{x}) dS(\mathbf{x}) \\
&= {}_{H^{-1/2}(\Gamma; \mathbf{R}^r)} \langle \mathcal{T} \mathcal{E}_{H^1} \mathbf{P} \mathcal{T}_{H^1} u, z \rangle_{H^{1/2}(\Gamma; \mathbf{R}^r)},
\end{aligned}$$

where  $\mathcal{E}_{H^1} : H^{1/2}(\Gamma; \mathbf{R}^r) \rightarrow H^1(\Omega; \mathbf{R}^r)$  is the right inverse of the trace operator  $\mathcal{T}_{H^1}$ . Therefore, on  $H^1(\Omega; \mathbf{R}^r)$ , we have  $\mathcal{S}^* \mathcal{T} = \mathcal{T} \mathcal{E}_{H^1} \mathcal{P} \mathcal{T}_{H^1}$ , and in particular  $\mathcal{S}^* \mathcal{T}(H^1(\Omega; \mathbf{R}^r)) \subseteq \mathcal{T}(H^1(\Omega; \mathbf{R}^r))$ .

Let us now additionally assume that  $\text{im } \mathcal{T}$  is closed in  $H^{-1/2}(\Gamma; \mathbf{R}^r)$ . For  $u \in W$ , let  $u_n \in H^1(\Omega; \mathbf{R}^r)$  be a sequence converging to  $u$  in  $W$ . Then by continuity we also have

$$\mathcal{S}^* \mathcal{T}u_n \rightarrow \mathcal{S}^* \mathcal{T}u \quad \text{in } H^{-1/2}(\Gamma; \mathbf{R}^r), \tag{3.11}$$

while, from the equality  $\mathcal{S}^* \mathcal{T}u_n = \mathcal{T} \mathcal{E}_{H^1} \mathcal{P} \mathcal{T}_{H^1} u_n \in \text{im } \mathcal{T}$  and the closedness of  $\text{im } \mathcal{T}$  in  $H^{-1/2}(\Gamma; \mathbf{R}^r)$ , it follows that  $\mathcal{S}^* \mathcal{T}u \in \text{im } \mathcal{T}$ , which concludes the proof.  $\square$

Note that in the above theorem  $\mathbf{S}$  and  $\mathbf{P}$  were arbitrary elements of  $C^{0,1/2}(\Gamma; M_r(\mathbf{R}))$ , not necessarily having properties from Lemma 2.1.

We close this section with a theorem showing that, if we impose conditions that ensure continuity of  $M$  defined by (1.12), then we also have  $\mathcal{S}^*(\text{im } \mathcal{T}) \subseteq \text{im } \mathcal{T}$ . These conditions were used in applications of the theory of Friedrichs systems in [11], and it is important to note that we do not expect them to be necessary for continuity of  $M$ , but only sufficient.

**Theorem 3.3.** *Let  $\mathbf{S} \in C^{0,1/2}(\Gamma; M_r(\mathbf{R}))$  be a matrix function and  $\mathcal{S} \in \mathcal{L}(H^{1/2}(\Gamma; \mathbf{R}^r))$  the corresponding multiplication operator defined by (1.13), and let  $\mathbf{P} : \Gamma \rightarrow M_r(\mathbf{R})$  be such that  $\mathbf{S}^\top \mathbf{A}_v = \mathbf{A}_v \mathbf{P}$  a.e. on  $\Gamma$ . Additionally assume that  $\mathbf{P}$  can be extended to a measurable matrix function  $\mathbf{P}_p : \text{Cl } \Omega \rightarrow M_r(\mathbf{R})$  satisfying the following.*

(S1) *The multiplication operator  $\mathcal{P}_p$ , defined by  $\mathcal{P}_p(v) := \mathbf{P}_p v$  for  $v \in W$  is a bounded linear operator on  $W$ .*

(S2) *( $\forall v \in H^1(\Omega; \mathbf{R}^r)$ )  $\mathbf{P}_p v \in H^1(\Omega; \mathbf{R}^r)$  &  $\mathcal{T}_{H^1}(\mathbf{P}_p v) = \mathbf{P} \mathcal{T}_{H^1} v$ .*

*Then  $\mathcal{S}^* \mathcal{T} = \mathcal{T} \mathcal{P}_p$ , and thus  $\mathcal{S}^*(\text{im } \mathcal{T}) \subseteq \text{im } \mathcal{T}$ .*

*Proof.* Similarly as in the proof of the previous theorem, for each  $u \in H^1(\Omega; \mathbf{R}^r)$  and  $z \in H^{1/2}(\Gamma; \mathbf{R}^r)$  we have

$$\begin{aligned}
& {}_{H^{-1/2}(\Gamma; \mathbf{R}^r)} \langle \mathcal{S}^* \mathcal{T} u, z \rangle_{H^{1/2}(\Gamma; \mathbf{R}^r)} \\
&= {}_{H^{-1/2}(\Gamma; \mathbf{R}^r)} \langle \mathcal{T} u, \mathcal{S} z \rangle_{H^{1/2}(\Gamma; \mathbf{R}^r)} \\
&= \int_{\Gamma} \mathbf{A}_\nu(\mathbf{x}) \mathcal{T}_{H^1} u(\mathbf{x}) \cdot \mathbf{S}(\mathbf{x}) z(\mathbf{x}) dS(\mathbf{x}) \\
&= \int_{\Gamma} \mathbf{S}^\top(\mathbf{x}) \mathbf{A}_\nu(\mathbf{x}) \mathcal{T}_{H^1} u(\mathbf{x}) \cdot z(\mathbf{x}) dS(\mathbf{x}) \tag{3.12} \\
&= \int_{\Gamma} \mathbf{A}_\nu(\mathbf{x}) \mathbf{P}(\mathbf{x}) \mathcal{T}_{H^1} u(\mathbf{x}) \cdot z(\mathbf{x}) dS(\mathbf{x}) \\
&= \int_{\Gamma} \mathbf{A}_\nu(\mathbf{x}) \mathcal{T}_{H^1} (\mathbf{P}_p(\mathbf{x}) u(\mathbf{x})) \cdot z(\mathbf{x}) dS(\mathbf{x}) \\
&= {}_{H^{-1/2}(\Gamma; \mathbf{R}^r)} \langle \mathcal{T} \rho_p u, z \rangle_{H^{1/2}(\Gamma; \mathbf{R}^r)},
\end{aligned}$$

and thus the equality  $\mathcal{S}^* \mathcal{T} = \mathcal{T} \rho_p$  is valid on  $H^1(\Omega; \mathbf{R}^r)$ . As all operators appearing in this equality are bounded, while  $H^1(\Omega; \mathbf{R}^r)$  is dense in  $W$ , the same equality is valid on  $W$ , which proves the claim.  $\square$

#### 4. Using $\mathbf{P}$ instead of $\mathbf{S}$

In Theorem 2.5 a matrix function  $\mathbf{S}$  was used in order to impose sufficient conditions for (M) to hold. In Lemma 2.1 a matrix function  $\mathbf{P}$  also appears, with a *similar role* as  $\mathbf{S}$ , the only difference being in the fact that in expression for  $\mathbf{M}$  function  $\mathbf{P}$  multiplies  $\mathbf{A}_\nu$  from a different side than  $\mathbf{S}$ . Therefore, it is natural to check whether we could get a better result than Theorem 2.5 by using  $\mathbf{P}$  instead of  $\mathbf{S}$ . In the next theorem we show what we have got by this approach.

**Theorem 4.1.** *Assume that the matrix field  $\mathbf{M} \in L^\infty(\Gamma; M_r(\mathbf{R}))$  satisfies (FM) and that by (1.12) a bounded operator  $M \in \mathcal{L}(W; W')$  is defined. Then (M1) holds.*

*Let the matrix function  $\mathbf{P}$  from Lemma 2.1 additionally satisfy  $\mathbf{P} \in C^{0,1/2}(\Gamma; M_r(\mathbf{R}))$ , and let  $\rho \in \mathcal{L}(H^{1/2}(\Gamma; \mathbf{R}^r))$  be the corresponding multiplication operator defined by  $\rho(z) := \mathbf{P}z$ . Then one has*

$$H^1(\Omega; \mathbf{R}^r) \subseteq \ker(D - M) + \ker(D + M) . \tag{4.1}$$

*Proof.* As in the proof of Theorem 2.5, we shall use the representations of operators  $D$  and  $M$  via trace operator  $\mathcal{T}$  and multiplication operator  $\mathcal{P}$ ; for  $u \in H^1(\Omega; \mathbf{R}^r)$  and  $v \in H^1(\Omega; \mathbf{R}^r)$  we have

$$\begin{aligned}
w' \langle Du, v \rangle_W &= \int_{\Gamma} \mathbf{A}_v(\mathbf{x}) \mathcal{T}_{H^1} u(\mathbf{x}) \cdot \mathcal{T}_{H^1} v(\mathbf{x}) dS(\mathbf{x}) \\
&= \int_{\Gamma} \mathbf{A}_v(\mathbf{x}) T_{H^1} v(\mathbf{x}) \cdot \mathcal{T}_{H^1} u(\mathbf{x}) dS(\mathbf{x}) \\
&= {}_{H^{-1/2}(\Gamma; \mathbf{R}^r)} \langle \mathcal{T}v, \mathcal{T}_{H^1} u \rangle_{H^{1/2}(\Gamma; \mathbf{R}^r)}, \\
w' \langle Mu, v \rangle_W &= \int_{\Gamma} \mathbf{A}_v(\mathbf{x}) (\mathbf{I} - 2\mathbf{P}(\mathbf{x})) \mathcal{T}_{H^1} u(\mathbf{x}) \cdot \mathcal{T}_{H^1} v(\mathbf{x}) dS(\mathbf{x}) \\
&= \int_{\Gamma} \mathbf{A}_v(\mathbf{x}) \mathcal{T}_{H^1} v(\mathbf{x}) \cdot (\mathbf{I} - 2\mathbf{P}(\mathbf{x})) \mathcal{T}_{H^1} u(\mathbf{x}) dS(\mathbf{x}) \\
&= {}_{H^{-1/2}(\Gamma; \mathbf{R}^r)} \langle \mathcal{T}v, (\mathcal{D}_{H^{1/2}} - 2\mathcal{P}) \mathcal{T}_{H^1} u \rangle_{H^{1/2}(\Gamma; \mathbf{R}^r)}.
\end{aligned} \tag{4.2}$$

For given  $w \in H^1(\Omega; \mathbf{R}^r)$  we define  $u := \mathcal{E}_{H^1} \mathcal{P} \mathcal{T}_{H^1} w$ , where  $\mathcal{E}_{H^1}$  is the right inverse of  $\mathcal{T}_{H^1}$ , as before.

Let us show that  $u \in \ker(D + M)$ . For  $v \in H^1(\Omega; \mathbf{R}^r)$  by using (4.2) and Lemma 2.4 we get

$$\begin{aligned}
w' \langle (D + M)u, v \rangle_W &= {}_{H^{-1/2}(\Gamma; \mathbf{R}^r)} \langle 2\mathcal{T}v, (\mathcal{D}_{H^{1/2}} - \mathcal{P}) \mathcal{T}_{H^1} u \rangle_{H^{1/2}(\Gamma; \mathbf{R}^r)} \\
&= {}_{H^{-1/2}(\Gamma; \mathbf{R}^r)} \langle 2\mathcal{T}v, (\mathcal{D}_{H^{1/2}} - \mathcal{P}) \mathcal{T}_{H^1} \mathcal{E}_{H^1} \mathcal{P} \mathcal{T}_{H^1} w \rangle_{H^{1/2}(\Gamma; \mathbf{R}^r)} \\
&= 2 \int_{\Gamma} \mathbf{A}_v(\mathbf{x}) \mathcal{T}_{H^1} v(\mathbf{x}) \cdot (\mathbf{I} - \mathbf{P}(\mathbf{x})) \mathbf{P}(\mathbf{x}) \mathcal{T}_{H^1} w(\mathbf{x}) dS(\mathbf{x}) \\
&= 2 \int_{\Gamma} \mathcal{T}_{H^1} v(\mathbf{x}) \cdot \mathbf{A}_v(\mathbf{x}) (\mathbf{I} - \mathbf{P}(\mathbf{x})) \mathbf{P}(\mathbf{x}) \mathcal{T}_{H^1} w(\mathbf{x}) dS(\mathbf{x}) = 0,
\end{aligned} \tag{4.3}$$

thus  $(D + M)u = 0$ , as  $H^1(\Omega; \mathbf{R}^r)$  is dense in  $W$ .

Similarly, we get

$$w' \langle (D - M)(w - u), v \rangle_W = 2 \int_{\Gamma} \mathcal{T}_{H^1} v(\mathbf{x}) \cdot \mathbf{A}_v(\mathbf{x}) \mathbf{P}(\mathbf{x}) (\mathbf{I} - \mathbf{P}(\mathbf{x})) \mathcal{T}_{H^1} w(\mathbf{x}) dS(\mathbf{x}) = 0, \tag{4.4}$$

and thus  $(D - M)(w - u) = 0$ . As  $w = u + (w - u)$ , we have the claim.  $\square$

It appears that by using  $\mathbf{P}$  instead of  $\mathbf{S}$  we do not get better results.

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