# A research project ranking method based on independent reviews by using the principle of the distance to the perfectly assessed project

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Abstract. The paper discusses the problem of ranking research projects based on the assessment obtained from  $n \geq 1$  independent blinded reviewers. Each reviewer assesses several project features, and the total score is defined as the weighted arithmetic mean, where the weights of features are determined according to the well-known AHP method. In this way, it is possible to identify each project by a point in n-dimensional space. The ranking is performed on the basis of the distance of each project to the perfectly assessed project. Thereby the application of different metric functions is analyzed. We believe it is inappropriate to use a larger number of decimal places if two projects are almost equidistant (according to some distance function) to the perfectly assessed project. In that case, it would be more appropriate to give priority to the project that has received more uniform ratings. This can be achieved by combining different distance functions. The method is illustrated by several simple examples and applied by ranking internal research projects at Josip Juraj Strossmayer University of Osijek, Croatia.

**Key words**: Multi-criteria decision making; Project evaluation;  $\ell_p$ -distance; AHP

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#### 1. Introduction

In this paper, we present a method for establishing a total order of projects based upon the assessment obtained from  $n \geq 1$  independent blinded reviewers. The issue of defining the number and importance of the review form features is considered to be an especially important part of the whole procedure, but in this paper this problem will not be taken into consideration. A standard form of the University was used in the example given in Section 5. The weights of the features are defined by using the Analytic Hierarchy Process (AHP) (see e.g. (Greco et al., 2016; Saaty, 1980, 1990)) on the basis of the opinions of a group of experts.

Each feature was graded by a number from the interval [1,5], and the perfectly assessed project is considered to be the one whose all features were individually assessed by 5. Much attention is paid in the literature to this issue as well.

After some project is allocated a certain n-tuple of numbers (grades), its rank depends on the distance to the perfectly assessed project. The choice of the metric function is also very often discussed in the literature. In our paper, we used a combination of the Euclidean  $d_2$  distance and the Chebyshev  $d_{\infty}$  distance. Projects rated closer to the perfectly assessed project will be ranked better (see also Yu (1973)).

Finally, depending on the funding available, only a few highest ranked projects will be granted.

This paper is organized as follows. The problem is stated and one practical problem of ranking internal research projects at the University of Osijek is discussed in Section 3. The definition of ordering on a set of projects in terms of various distance functions is also introduced. An example indicating the basic problems that might occur is constructed. In Section 4, an analysis of various distance functions, i.e. the Manhattan  $d_1$ -distance, the Euclidean  $d_2$ -distance, and the Chebyshev  $d_{\infty}$ -distance, is performed. The situation when two or more projects are evaluated differently by various reviewers, and yet roughly equally ranked by using some distance function, is especially considered. In that case, we believe priority should be given to projects with more uniform assessments, which can be achieved by combining different distance functions. A real ranking problem of internal research projects at the University of Osijek is described in Section 5, and finally, some conclusions are given in Section 6.

#### 2. Related works

The problem of ranking research projects (see e.g. Collan et al. (2013); Mandic et al. (2014); Mardani et al. (2015); Turkalj et al. (2016)) as well as ranking departments, institutes and universities (see e.g. Daraio et al. (2015); Kadziński and Słowiński (2015); Rad et al. (2011)) has long been present in the scientific literature.

Most approaches use different multi-criteria decision-making methods, (Barichard et al., 2009; Tanino et al., 2003; Jones and Tamiz, 2016), and the AHP method.

In the paper (Turkalj et al., 2016), the AHP method is used in order to determine the weights of features. First, by applying adaptive Mahalanobis clustering (see (Morales-Esteban et al., 2014)) all projects are grouped into several clusters such that similarly assessed projects are grouped into special ellipsoidal clusters. The cluster of projects assessed as best is specially analyzed and ranked.

#### 3. Problem statement

Let  $\mathcal{P} = \{\pi^{(1)}, \dots, \pi^{(m)}\}$  be a set of projects. Suppose that each project is assessed by  $n \geq 1$  independent reviewers based on the review form, in which  $k \geq 1$  features  $f_1, \dots, f_k$  (e.g. the quality and relevance of a research proposal, the quality of applicants, etc.; see Example 1) are assessed. The corresponding weight  $w_j > 0$  will be associated to each of k features  $f_j$  which will be assessed. The weights of project features  $w_1, \dots, w_k$  are defined by the University Management Board by using the AHP method (see e.g. Barichard et al. (2009); Greco et al. (2016); Saaty (1980, 1990); Tanino et al. (2003)). Without loss of generality, let us suppose that  $\sum_{s=1}^k w_s = 1$ . By real numbers  $r_{j1}^{(i)}, \dots, r_{jk}^{(i)} \in [1, 5]$  we denote grades of features  $f_1, \dots, f_k$  given for the project  $\pi^{(i)} \in \mathcal{P}$  by the j-th reviewer, with "1" and "5" as the worst and the best rating, respectively. We decided in favor of that grading scale since it is used for the evaluation of student achievement at the University of Osijek.

Furthermore, let

$$r_j^{(i)} = \sum_{s=1}^k w_s r_{js}^{(i)}, \quad j = 1, \dots, n, \quad i = 1, \dots, m,$$
 (1)

be the average weighted grade (AWG) of the project  $\pi^{(i)}$  obtained from the j-th reviewer. In this way, we are able to associate a vector (point)

$$a^{(i)} = (r_1^{(i)}, \dots, r_n^{(i)}) \in \mathbb{R}^n, \quad i = 1, \dots, m,$$
 (2)

from n-dimensional vector space  $\mathbb{R}^n$  to each project  $\pi^{(i)} \in \mathcal{P}$ . In this way, instead of the set of projects  $\mathcal{P}$ , we can observe the set  $\mathcal{A} = \{a^{(i)} \in \mathbb{R}^n : i = 1, \dots, m\}$  of points in space  $\mathbb{R}^n$ .

Example 1. In 2015, the University of Osijek announced an internal call for proposals for research projects INGI-2015<sup>‡</sup> to encourage cooperation between its researchers and prominent researchers from other (especially foreign) universities. 30 candidates from the STEM area and 10 Social Sciences and Humanities candidates submitted their applications to the call. The evaluation was carried out based upon reviews by independent reviewers, one of whom is affiliated with the field of the research proposal in question and the other comes from a different, but related field. Reviewers evaluated features  $f_1, \ldots, f_6$  (given in Table 1) with grades from the interval of real numbers [1,5]. The University Management Board has defined weights  $w_1, \ldots, w_6 > 0$  of particular features by using the AHP method (see also Table 1). In that way, for each of m = 40 projects  $\pi^{(i)}$  the corresponding vector  $a^{(i)} \in \mathbb{R}^2$  is uniquely determined, whose components are AWGs of all features of the first and the second reviewer

$$a^{(i)} = (r_1^{(i)}, r_2^{(i)}) \in \mathbb{R}^2, \quad i = 1, \dots, m,$$
 (3)

(see also Table 4).

	Features	Weights $w_i$
$f_1$ :	The quality and relevance of the research proposal	0.25
$f_2$ :	The quality of applicants	0.15
$f_3$ :	The quality of guest researchers	0.35
$f_4$ :	Research feasibility study	0.10
$f_5$ :	Financial plan	0.10
$f_6$ :	Inclusion of students	0.05

 $\label{thm:corresponding} \textbf{Table 1: Elements assessed by reviewers from Example 1 with corresponding weights}$ 

#### 3.1. Defining the ordering of projects

Furthermore, by  $\pi^*$  we will denote a perfectly assessed project to which the point  $a^* = (5, ..., 5) \in \mathbb{R}^n$  is associated in space  $\mathbb{R}^n$ . The project  $\pi^{(i)}$  is considered to be ranked better than the project  $\pi^{(j)}$  if the point  $a^{(i)}$  is closer to the point  $a^*$  in terms of some distance function, see Yu (1973). In this sense, we introduce the following definition.

 $<sup>^{\</sup>ddagger}\mathrm{See}$ : http://www.unios.hr/ingi2015/

**Definition 1.** Let  $\mathcal{P} = \{\pi^{(1)}, \dots, \pi^{(m)}\}$  be a set of projects,  $\mathcal{A} \subset \mathbb{R}^n$  a set of corresponding points defined by (2),  $\pi^*$  the perfectly assessed project to which we associate the point  $a^* = (5, \dots, 5) \in \mathbb{R}^n$ , and let  $d : \mathbb{R}^n \times \mathbb{R}^n \to \mathbb{R}_+$  be some distance function. The project  $\pi^{(i)}$  is said to be better d-ranked than the project  $\pi^{(j)}$  and we write  $\pi^{(i)} \succeq \pi^{(j)}$  if and only if there holds  $d(a^{(i)}, a^*) < d(a^{(j)}, a^*)$ , i.e.,

$$\pi^{(i)} \stackrel{(d)}{\succeq} \pi^{(j)} \Leftrightarrow d(a^{(i)}, a^{\star}) \leq d(a^{(j)}, a^{\star}).$$

Furthermore, we say that a set of projects  $\mathcal{P}$  is d-ranked if  $\pi^{(1)} \succeq \cdots \succeq \pi^{(j)} \succeq \cdots \succeq \pi^{(j)} \succeq \cdots \succeq \pi^{(m)}$  and j is a d-rank of the project  $\pi^{(j)}$ .

## 3.2. Interpretation of the ordering of projects

Let  $K_r^{(d)} = \{x \in \mathbb{R}^n : d(x, a^*) \leq r\}$  be a hyperball of radius r > 0 with the center in the point  $a^*$  in metric space  $\mathbb{R}^n$  with distance function  $d : \mathbb{R}^n \times \mathbb{R}^n \to \mathbb{R}_+$ . Obviously,  $\pi^{(i)}$  is strongly better d-ranked than  $\pi^{(j)}$  ( $\pi^{(i)} \stackrel{(d)}{\succ} \pi^{(j)}$ ) if the point  $a^{(i)}$  is situated in hyperball  $K_r^{(d)}$  of a smaller radius. Projects  $\pi^{(i)}$  and  $\pi^{(j)}$  are equally d-ranked if the corresponding points  $a^{(i)}$  and  $a^{(j)}$  lie in the same hypercircle  $\partial K_r^{(d)}$ . In this way, we introduce a weak ordering on the set of points  $\mathcal{A}$  and a unique ranking list of projects  $\mathcal{P}$  (see e.g. Moretti et al. (2016)).

The proposed method of project ranking allows the application of various distance functions, and in this paper we will particularly analyze the application of the Manhattan  $d_1$ -distance function

$$d_1(x,y) = \sum_{i=1}^n |x_i - y_i|, \tag{4}$$

the Euclidean  $d_2$ -distance function

$$d_2(x,y) = \sqrt{\sum_{i=1}^{n} (x_i - y_i)^2},$$
(5)

and the Chebyshev  $d_{\infty}$ -distance function

$$d_{\infty}(x,y) = \max_{i=1,...,n} |x_i - y_i|.$$
 (6)

**Remark 1.** Note that two projects  $\pi^{(i)}$ ,  $\pi^{(j)} \in \mathcal{P}$  (see Fig. 1)

• have the same  $d_1$ -rank if  $d_1(a^{(i)}, a^*) = d_1(a^{(j)}, a^*)$ , i.e., if the arithmetic means of their grades are equal:  $\frac{1}{n} \sum_{s=1}^n r_s^{(i)} = \frac{1}{n} \sum_{s=1}^n r_s^{(j)}$ ;

- have the same  $d_2$ -rank if  $d_2(a^{(i)}, a^*) = d_2(a^{(j)}, a^*);$
- have the same  $d_{\infty}$ -rank if  $d_{\infty}(a^{(i)}, a^{\star}) = d_{\infty}(a^{(j)}, a^{\star})$ , i.e., if the highest grades obtained for some feature are equal:  $\max_{s=1,\ldots,n} r_s^{(i)} = \max_{s=1,\ldots,n} r_s^{(j)}$ .

**Example 2.** Let m=7 and n=2. Average grades awarded to projects by two independent reviewers are given in Table 2. In this way, the set  $\mathcal{A}=\{a^{(i)}=(x_i,y_i)\in\mathbb{R}^2\colon i=1,\ldots,7\}$  of the corresponding points is determined. The table also gives distances of each project to the perfectly assessed project  $\pi^*$  by using  $d_1,d_2$  and  $d_\infty$  distance functions. In addition to the set of points  $\mathcal{A}$ , a few  $d_1$ -circles suggesting a  $d_1$ -rank of projects are shown in Fig. 1a. Similarly, Fig. 1b and Fig. 1c contain a few  $d_2$ -circles and a few  $d_\infty$ -circles suggesting a  $d_2$ -rank of projects are spectively.

Project	$  \pi^{(1)}$	$\pi^{(2)}$	$\pi^{(3)}$	$\pi^{(4)}$	$\pi^{(5)}$	$\pi^{(6)}$	$\pi^{(7)}$
Rev#1 $(x_i)$ Rev#2 $(y_i)$	$\begin{vmatrix} 3.0 \\ 2.8 \end{vmatrix}$	$\frac{2.0}{4.5}$	$\frac{3.4}{4.1}$	$\frac{4.1}{3.4}$	$\frac{4.2}{4.6}$	4.9 3.9	$\frac{4.4}{4.4}$
$ \begin{array}{c} d_1(\pi^{(i)}, a^*) \\ d_2(\pi^{(i)}, a^*) \\ d_\infty(\pi^{(i)}, a^*) \end{array} $		3.5 3.0 3.0	2.5 1.8 1.6	2.5 1.8 1.6	1.2 0.9 0.8	1.2 1.1 1.1	1.2 0.8 0.6

Table 2: Project grades and distances to the perfectly assessed project  $\pi^*$ 

Table 3 gives  $d_1$ ,  $d_2$ , and  $d_{\infty}$  ranking lists of projects from Example 2. Note that projects  $\pi^{(3)}$ ,  $\pi^{(4)}$ , i.e. projects  $\pi^{(5)}$ ,  $\pi^{(6)}$ ,  $\pi^{(7)}$ , lie in the same  $d_1$ -circle and have the same  $d_1$ -rank. Similarly, projects  $\pi^{(1)}$ ,  $\pi^{(2)}$ , i.e. projects  $\pi^{(3)}$ ,  $\pi^{(4)}$ , lie in the same  $d_2$ -circle and have the same  $d_2$ -rank. A similar problem also occurs in the application of the  $d_{\infty}$ -distance. These problems will be analyzed in detail in the next section.

Rank	Manhattan $d_1$ -distance	Euclidean $d_2$ -distance	Chebyshev $d_{\infty}$ -distance
1	$\pi^{(5)}, \pi^{(6)}, \pi^{(7)}$	$\pi^{(7)}$	$\pi^{(7)}$
2	$\pi^{(3)}, \pi^{(4)}$	$\pi^{(5)}$	$\pi^{(5)}$
3	$\pi^{(2)}$	$\pi^{(6)}$	$\pi^{(6)}$
4	$\pi^{(1)}$	$\pi^{(3)}, \pi^{(4)}$	$\pi^{(3)}, \pi^{(4)}$
5	=	$\pi^{(1)}, \pi^{(2)}$	$\pi^{(1)}$
6	_	_	$\pi^{(2)}$
7	_	_	_

Table 3: Ranking of projects from Example 2 by using various distance functions

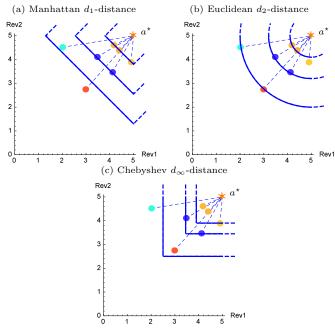


Figure 1: Distances to the perfectly assessed project  $\pi^{\star}$  represented by the point  $a^{\star}=(5,5)$ 

## 4. Comparison of the application of various metric functions

As already mentioned in the previous section, two projects  $\pi^{(i)}, \pi^{(j)}$  with corresponding points  $a^{(i)}, a^{(j)} \in \mathcal{A}$  will be equally  $d_1$ -ranked if  $\frac{1}{n} \sum r_s^{(i)} = \frac{1}{n} \sum r_s^{(j)}$ . It is immediately clear that if the Manhattan  $d_1$ -distance function is applied, the rank of some project  $\pi \in \mathcal{P}$  will be influenced only by arithmetic means of grades (2), and diversity of individual grades (2) awarded by various reviewers will not affect the  $d_1$ -rank of the project at all.

Unlike the  $d_1$ -rank, the  $d_2$ -rank and the  $d_{\infty}$ -rank will depend on grade dispersion (2) referring to the project under consideration.

As an illustration, let us consider two projects represented by points a and  $a^0$  from the plane  $\mathbb{R}^2$  (see Fig. 2), which are equally  $d_1$ -ranked, i.e., they equally differ from  $a^*$  by the Manhattan distance:  $d_1(a^0, a^*) = d_1(a, a^*) =: r$ . In Fig. 2, it can be seen that the point  $a^0 \in \mathbb{R}^2$  represents the project  $\pi^0 \in \mathcal{P}$ , whose AWGs obtained from both reviewers are mutually equal. Among all projects  $\pi \in \mathcal{P}$  for which  $d_1(a, a^*) = r$ , the project  $\pi^0$  attains the best  $d_2$ -rank (see Fig. 2a) and the best  $d_\infty$ -rank (see Fig. 2b).

This means that the application of  $d_2$  and  $d_\infty$  distances prefers uniform evalu-

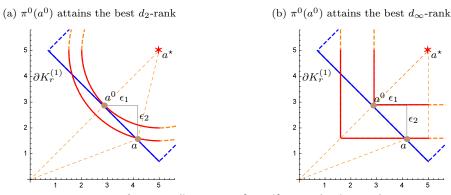


Figure 2:  $d_p$ ,  $p \geq 2$  distances prefer uniform evaluation grades

ation grades, unlike the Manhattan distance that takes into consideration only the arithmetic means of AWGs obtained from all reviewers. Practically, in case we have projects that are evaluated similarly and we want to give priority to the project with more uniform evaluation grades, we should use either the  $d_2$  or the  $d_{\infty}$  distance, and if we do not want to give priority to such project, we should use the Manhattan distance.

A generalized principle for the case of n>1 reviewers is described in the following theorem.

**Theorem 1.** Let n > 1 and let  $\partial K_r^{(1)} = \{x \in \mathbb{R}_+^n : d_1(x, a^*) = r, r > 0\}$  be part of the Manhattan hypercircle of radius r > 0 with the center at the point  $a^*$ . Then the shortest  $d_p$ ,  $p \in \{2, \infty\}$ , distance from the point  $a^*$  to the hypercircle  $\partial K_r^{(1)}$  is attained at the point  $a^0 = (5 - \frac{r}{n}, \dots, 5 - \frac{r}{n}) \in \partial K_r^{(1)}$ , i.e.,

$$d_p(\partial K_r^{(1)}, a^*) = \min_{a \in \partial K_r^{(1)}} d_p(a, a^*) = d_p(a^0, a^*), \quad p \in \{2, \infty\}.$$
 (7)

**Proof.** First, let us note that for all  $a \in \partial K_r^{(1)}$  there is  $\epsilon \in \mathbb{R}^n$ , such that

$$a = a^0 + \epsilon = \left(5 - \frac{r}{n} + \epsilon_1, \dots, 5 - \frac{r}{n} + \epsilon_n\right), \text{ where } \sum_{i=1}^n \epsilon_i = 0.$$
 (8)

In order to prove the assertion for p = 2, let us suppose that  $r \in \mathbb{R}_+$  is fixed, define the function

$$\varphi \colon \mathbb{R}^n \to \mathbb{R}_+, \quad \varphi(\epsilon) = d_2^2(a, a^*) = \left(\frac{r}{n} - \epsilon_1\right)^2 + \dots + \left(\frac{r}{n} - \epsilon_n\right)^2,$$

and consider the following constrained optimization problem:

$$\min_{\{(\epsilon_1,\dots,\epsilon_n)\in\mathbb{R}^n:\sum_{i=1}^n\epsilon_i=0\}}\varphi(\epsilon_1,\dots,\epsilon_n). \tag{9}$$

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The corresponding Lagrange function for problem (9) is

$$L(\epsilon_1, \dots, \epsilon_n, \lambda) = \left(\frac{r}{n} - \epsilon_1\right)^2 + \dots + \left(\frac{r}{n} - \epsilon_n\right)^2 + \lambda \sum_{i=1}^n \epsilon_i.$$

From  $\frac{\partial L(\epsilon_1,...,\epsilon_n)}{\partial \epsilon_i} = \lambda - 2(\frac{r}{n} - \epsilon_i) = 0$ , we obtain  $\epsilon_i = \frac{r}{n} - \frac{\lambda}{2}$ , i = 1,...,n. Finally, because  $\sum_{i=1}^n \epsilon_i = 0$ , we obtain,  $\lambda = \frac{2r}{n}$  i.e.  $\epsilon_i = 0$ , i = 1,...,n. Since the function  $\varphi$  is a strongly convex (quadratic) function, the assertion is proved.

In order to prove the assertion for  $p = \infty$ , let us define the function

$$\psi \colon \mathbb{R}^n \to \mathbb{R}, \quad \psi(\varepsilon) = d_{\infty}(a_{\varepsilon}, a^{\star}) = \max\left\{ \left| \frac{r}{n} - \epsilon_1 \right|, \dots, \left| \frac{r}{n} - \epsilon_n \right| \right\}$$

and consider the following constrained optimization problem:

$$\min_{\{(\varepsilon_1,\dots,\varepsilon_n)\in\mathbb{R}^n:\ \sum_{i=1}^n\varepsilon_i=0\}}\psi(\varepsilon_1,\dots,\varepsilon_n),\tag{10}$$

Let  $z = \max\{|\frac{r}{n} - \epsilon_1|, \dots, |\frac{r}{n} - \epsilon_n|\}$ . Problem (10) is reduced to a linear programming problem:

$$z \to \min$$

$$s.t. \quad \sum_{i=1}^{n} \varepsilon_i = 0, \tag{11}$$

$$\frac{r}{n} - \epsilon_i \le z, \quad i = 1, \dots, n, \tag{12}$$

$$-\frac{n}{n} + \epsilon_i \le z, \quad i = 1, \dots, n, \tag{13}$$

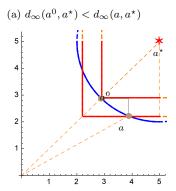
$$\varepsilon_i \in \mathbb{R}_+.$$
 (14)

This problem can be solved explicitly. By summing conditions (12) and using (11) we get  $r \leq nz$  Analogously, by summing conditions (13) and using (11) we obtain  $-r \leq nz$ , and finally  $\left|\frac{r}{n}\right| = \max\left\{-\frac{r}{n}, \frac{r}{n}\right\} \leq z$ .

Since z can be minimal, it is obvious that optimal  $z^* = |\frac{r}{n}| = \psi(0, \dots, 0)$ .

Let us now consider the set of projects  $\mathcal{P}^0 \subseteq \mathcal{P}$  which are equally  $d_2$ -ranked. As can be seen in Fig. 3a, the Chebyshev  $d_{\infty}$  distance project  $\pi^0 \in \mathcal{P}^0$  with uniform evaluation grades  $a^0 = (\rho, \rho), \ \rho \in [1, 5]$  is recognized as best since  $d_{\infty}(a^0, a^*) \leq d_{\infty}(a, a^*)$ , for all  $a \in \mathcal{P}^0$ . At the same time, the project  $\pi^0 \in \mathcal{P}^0$  is  $d_1$ -ranked worst, and the corresponding vector  $a^0$  has the smallest  $\ell_2$ -norm (see Fig. 3b).

The following theorem gives a generalization of the aforementioned claims for n > 1 and shows that among all projects  $\pi \in \mathcal{P}^0$ , the highest  $d_{\infty}$ -rank is attributed



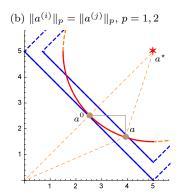


Figure 3: Two projects equally  $d_2$ -ranked

to the project  $\pi^0 \in \mathcal{P}^0$  with uniform evaluation grades  $a^0 = (\rho, \dots, \rho), \rho \in [1, 5]$ . At the same time, the project  $\pi^0 \in \mathcal{P}^0$  has the lowest  $d_1$ -rank, and the corresponding vector  $a^0$  has the smallest  $\ell_2$ -norm.

**Theorem 2.** Let n > 1 and let  $\partial K_r^{(2)} = \{x \in \mathbb{R}_+^n : d_2(x, a^*) = r, r > 0\}$  be part of the Euclidean hypercircle of radius r > 0 with the center in the point  $a^*$ .

(i) The shortest  $d_{\infty}$  distance from the point  $a^*$  to the hypercircle  $\partial K_r^{(2)}$  is attained at the point  $a^0 = (5 - \frac{r}{\sqrt{n}}, \dots, 5 - \frac{r}{\sqrt{n}}) \in \partial K_r^{(2)}$  i.e.,

$$d_{\infty}(\partial K_r^{(2)}, a^*) = \min_{a \in \partial K_r^{(2)}} d_{\infty}(a, a^*) = d_{\infty}(a^0, a^*).$$
 (15)

(ii) For all pairs  $a^{(i)}, a^{(j)} \in \partial K_r^{(2)}$ , there holds

$$d_1(a^{(i)}, a^*) \ge d_1(a^{(j)}, a^*) \quad \Leftrightarrow \quad ||a^{(i)}||_2 \le ||a^{(j)}||_2,$$
 (16)

and particularly, the greatest  $d_1$ -distance from the point  $a^*$  to the hypercircle  $\partial K_r^{(2)}$  is attained at the point  $a^0 = (5 - \frac{r}{\sqrt{n}}, \dots, 5 - \frac{r}{\sqrt{n}}) \in \partial K_r^{(2)}$  i.e.

$$d_1(\partial K_r^{(2)}, a^*) = \max_{a \in \partial K_r^{(2)}} d_1(a, a^*) = d_1(a^0, a^*).$$
(17)

**Proof.** First, let us note that for all  $a \in \partial K_r^{(2)}$  there exists  $\epsilon \in \mathbb{R}^n$ , such that

$$a = a^0 + \epsilon = \left(5 - \frac{r}{\sqrt{n}} + \epsilon_1, \dots, 5 - \frac{r}{\sqrt{n}} + \epsilon_n\right), \text{ where } \sum_{i=1}^n \left(r - \epsilon_i \sqrt{n}\right)^2 = nr^2.$$

$$(18)$$

Namely, the points  $a, a^0 \in \partial K_r^{(2)}$  have the same distance from the point  $a^*$ , and there holds

$$\left(\frac{r}{\sqrt{n}} - \epsilon_1\right)^2 + \dots + \left(\frac{r}{\sqrt{n}} - \epsilon_n\right)^2 = \frac{r^2}{n} + \dots + \frac{r^2}{n}$$

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i.e. 
$$\sum_{i=1}^{n} (r - \epsilon_i \sqrt{n})^2 = nr^2$$
.

Let us define the function

$$\psi \colon \mathbb{R}^n \to \mathbb{R}, \quad \psi(\varepsilon) = d_{\infty}(a, a^*) = \max \left\{ \left| \frac{r}{\sqrt{n}} - \varepsilon_1 \right|, \dots, \left| \frac{r}{\sqrt{n}} - \varepsilon_n \right| \right\}$$

and consider the following constrained optimization problem

$$\min_{\{(\varepsilon_1,\dots,\varepsilon_n)\in\mathbb{R}^n:\ \sum_{i=1}^n(r-\epsilon_i\sqrt{n})^2=nr^2\}}\psi(\varepsilon_1,\dots,\varepsilon_n). \tag{19}$$

Let  $z = \max\left\{\left|\frac{r}{\sqrt{n}} - \varepsilon_1\right|, \dots, \left|\frac{r}{\sqrt{n}} - \varepsilon_n\right|\right\}$ . Problem (19) is reduced to the following optimization problem

$$z \to \min$$

$$s.t. \quad \sum_{i=1}^{n} \left( r - \epsilon_i \sqrt{n} \right)^2 = nr^2, \tag{20}$$

$$\left| \frac{r}{\sqrt{n}} - \varepsilon_i \right| \le z, \quad i = 1, \dots, n, \tag{21}$$

$$\varepsilon_i \in \mathbb{R}.$$
 (22)

This problem can be solved explicitly. From (21) we get

$$(r - \epsilon \sqrt{n})^2 \le z^2 n, i = 1, \dots, n$$

i.e.

$$\sum_{i=1}^{n} (r - \epsilon \sqrt{n})^2 \le z^2 n^2.$$

Because of (20), the optimal  $z^*$  is

$$z^* = \sqrt{\frac{1}{n^2} \sum_{i=1}^n (r - \epsilon \sqrt{n})^2} = \frac{r}{\sqrt{n}} = \psi(0).$$

In order to prove assertion (ii), let us suppose that  $a^{(i)}, a^{(j)} \in \partial K_r^{(2)}$  are arbitrary. Then there holds

$$d_{2}(a^{(i)}, a^{*}) = d_{2}(a^{(j)}, a^{*}) \Leftrightarrow \|a^{(i)} - a^{*}\|_{2}^{2} = \|a^{(j)} - a^{*}\|_{2}^{2}$$

$$\Leftrightarrow \left(a^{(j)} - a^{(i)}\right) (a^{*})^{T} = \frac{1}{2} \left(\|a^{(j)}\|^{2} - \|a^{(i)}\|^{2}\right)$$

$$\Leftrightarrow 5 \left(\sum_{s=1}^{n} r_{s}^{(j)} - \sum_{s=1}^{n} r_{s}^{(i)}\right) = \frac{1}{2} \left(\|a^{(j)}\|^{2} - \|a^{(i)}\|^{2}\right)$$

$$\Leftrightarrow 5 \left(d_{1}(a^{(j)}, a^{*}) - d_{1}(a^{(i)}, a^{*})\right) = \frac{1}{2} \left(\|a^{(i)}\|_{2}^{2} - \|a^{(j)}\|_{2}^{2}\right).$$

$$(23)$$

From (23) it can be seen that  $||a^{(i)}||_2 \ge ||a^{(j)}||_2$ , if and only if  $d_1(a^{(j)}, a^*) \ge d_1(a^{(i)}, a^*)$ , from where there follow (16) and (17).

**Remark 2.** A generalization of results from Theorem 1 and Theorem 2 could be written for an arbitrary  $d_p$   $(p \ge 1)$  distance, but the proof would require us to solve a nondifferentiable optimization problem (see e.g. Avriel (2006); Ruszczynski (2006)). It should also be noted that the cases  $d_1, d_2, d_\infty$  are quite sufficient for the applications in question.

# 5. Ranking internal research projects at the University of Osijek

As an illustration, we consider the problem of ranking projects of the internal research program at the University of Osijek (INGI-2015) described in Example 1.

The Committee for Research Project Evaluation decided to apply the Euclidean  $d_2$ -distance with corrections by the Chebyshev  $d_{\infty}$ -distance in terms of Theorem 1 and Theorem 2, i.e., if two projects are approximately equally  $d_2$ -ranked, then we give priority to the project with more uniform evaluation grades, i.e. to the project that is  $d_{\infty}$ -ranked better.

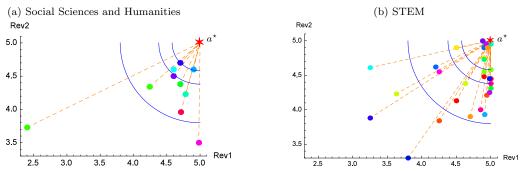


Figure 4: Ranks of 10 Social Sciences and Humanities projects and 30 STEM projects

Let us analyze the problem of ranking m=10 Social Sciences and Humanities project proposals. The grades of features  $f_1, \ldots, f_6$  of four best  $d_2$ -ranked projects (with  $d_{\infty}$ -corrections) and their corresponding  $\mathbb{R}^2$  representation are shown in Table 4. A graphical illustration is shown in Fig. 4a.

Note that projects INGI-1 and INGI-2 are equally  $d_2$ -ranked (see also Fig. 4a), but the project INGI-1 is  $d_{\infty}$ -ranked better (has more uniform evaluation grades), hence it ranks first. If we tried to differentiate projects INGI-1 and INGI-2 by using

Rank	Project	Rev	$f_1^{(i)}$	$f_2^{(i)}$	$f_3^{(i)}$	$f_4^{(i)}$	$f_5^{(i)}$	$f_6^{(i)}$	$a^{(i)}$	$d_2(a^i, a^{\star})$	$d_{\infty}(a^i, a^{\star})$
1	INGI-1	Rev#1	5	4.2	5	4.5	4.5	4	4.7	0.4	0.3
		Rev#2	4.8	5	4.5	4.8	4.8	4.3	4.7		
2	INGI-2	Rev#1	5	5	5	5	4.5	5	4.9	0.4	0.4
		Rev#2	5	5	5	4	3	4	4.6		0.1
3	INGI-3	Rev#1	4	4	5	5	5	5	4.6	0.6	0.4
		Rev#2	5	4.5	4.3	4.7	4.5	4.3	4.6		0.1
4	INGI-4	Rev#1	5	4	5	5	3	3.5	4.6	0.6	0.5
		Rev#2	4.4	3.8	4.8	4.8	4.3	4.1	4.5	0.0	0.0

Table 4: Properties of the best  $d_2$ -ranked Social Sciences and Humanities projects

more decimals in the  $d_2$ -rank, then the project INGI-2 would be placed before the project INGI-1. A similar situation takes place with projects INGI-3 and INGI-4.

Similarly, a graphical illustration of  $\mathbb{R}^2$  representation of 30 STEM projects is shown in Fig. 4b.

## 6. Conclusions

Project ranking is a sensitive issue in multi-criteria decision making. During the evaluation process, it can be expected that two or more projects are roughly equally ranked in relation to the selected distance function. We believe that it is not appropriate to rank such projects by using more decimal places, but that the project with more uniform evaluation grades should be positioned better. The paper shows how this can be achieved by combining different distance functions.

The presented method can be applied to other different situations like department ranking inside a university, ranking teachers and associates on the basis of a university survey or on the basis of the quality of scientific research, ranking administrative staff on the basis of a survey, etc.

In our approach, the evaluations of different criteria of each reviewer are first aggregated into a global score and then the projects are ranked with respect to the distance of their global scores to the perfectly assessed project in space  $\mathbb{R}^n$ , where n is the number of reviewers. Let us mention that instead of this, it is also reasonable to rank the projects directly with respect to the distance between the feature vector and the perfectly assessed project in space  $\mathbb{R}^{nk}$ , where k is the number of features considered.

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